

**Name of the Asset Management Company:**  
 ICICI Prudential Asset Management Company Limited  
**Name of the Mutual Fund:** ICICI Prudential Mutual Fund


**KEY INFORMATION MEMORANDUM**  
**ICICI Prudential Fixed Maturity Plan - Series 72**  
**A Close-Ended Debt Fund**

Offer for units of Rs.10 per unit for cash during the New Fund Offer Period only.

Plan	New Fund Offer opens	New Fund Offer closes*
1092 Days Plan F	January 16, 2014	January 28, 2014

\*The AMC reserves the right to extend or pre close the New Fund Offer (NFO) period, subject to the condition that the NFO Period including the extension, if any, shall not be for more than 15 days or such period as allowed by SEBI.

Being a close-ended fund the Scheme will not reopen for subscriptions. The Scheme is proposed to be listed on National Stock Exchange of India Limited (NSE).

<p>This Product is suitable for investors who are seeking*:</p> <ul style="list-style-type: none"> <li>• Medium term savings solution</li> <li>• A Debt Fund that seeks to generate income by investing in a portfolio of fixed income securities/debt instruments maturing on or before the maturity of the Scheme.</li> </ul> <p>*Investors should consult their financial advisers if in doubt about whether the product is suitable for them</p>	 <b>LOW RISK (BLUE)</b>
--	---

Note - Risk may be represented as:

<i>(BLUE)</i> investors understand that their principal will be at low risk	<i>(YELLOW)</i> investors understand that their principal will be at medium risk	<i>(BROWN)</i> investors understand that their principal will be at high risk
--	---	--

**Sponsors:** **ICICI Bank Limited:** Regd. Office: Landmark, Race Course Circle, Vadodara 390 007, India; and **Prudential plc** (through its wholly owned subsidiary, Prudential Corporation Holdings Limited): Laurence Pountney Hill, London EC4R 0HH, United Kingdom

**Trustee :** **ICICI Prudential Trust Limited**  
 Regd. Office: 12th Floor, Narain Manzil, 23, Barakhamba Road, New Delhi-110 001.

**Investment Manager:** **ICICI Prudential Asset Management Company Limited**  
**Regd. Office:** 12th Floor, Narain Manzil, 23, Barakhamba Road, New Delhi-110 001.  
**Corporate Office:** 3rd Floor, Hallmark Business Plaza, Sant Dyaneshwar Marg, Bandra (East), Mumbai - 400 051, Tel: (91) (022) 26428000, Fax: (022) 2655 4165.  
**Central Service Office:** 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon (East), Mumbai 400 063. Tel: (91) (22) 26852000, Fax: (91)(22) 2686 8313.

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the Scheme/Mutual Fund, due diligence certificate by AMC, Key Personnel, Investor's rights & services, risk factors, penalties & litigations etc. investor should, before investment, refer to the Scheme Information Document (SID) and Statement of Additional Information (SAI) available free of cost at any of the Investor Service Centre or distributors or from the website [www.icicipruamc.com](http://www.icicipruamc.com)

The particulars of ICICI Prudential Fixed Maturity Plan – Series 72 – 1092 Days Plan F, the mutual fund Scheme offered under this KIM, have been prepared in accordance with the Securities and Exchange Board of India (Mutual Funds) Regulations, 1996, as amended till date, and filed with the Securities and Exchange Board of India (SEBI), and the Units being offered for public subscription have not been approved or disapproved by the SEBI nor has the SEBI certified the accuracy or adequacy of this KIM.

The mutual fund or AMC and its empanelled brokers have not given and shall not give any indicative portfolio and indicative yield in any communication, in any manner whatsoever. Investors are advised not to rely on any communication regarding indicative yield/portfolio with regard to the scheme. It is to be distinctly understood that the permission given by National Stock Exchange of India Limited should not in any way be deemed or construed that the SID has been cleared or approved by National Stock Exchange of India Limited. nor does it certify the correctness or completeness of any of the contents of the SID. The investors are advised to refer to the SID for the full text of the 'Disclaimer clause of the National Stock Exchange of India Limited'.

**INVESTMENT OBJECTIVE:**

The investment objective of the Scheme is to seek to generate income by investing in a portfolio of fixed income securities/debt instruments maturing on or before the maturity of the Scheme. However, there can be no assurance that the investment objective of the Scheme will be realized.

**ASSET ALLOCATION PATTERN:**

Under normal circumstances, the asset allocation of the Scheme and the credit rating of the instruments would be as follows:

Instruments	Indicative allocations (% of total assets)		Risk Profile
	Maximum	Minimum	
Debt Instrument including securitized debt	100	70	Low to medium
Money Market Instruments	30	0	Low to medium

Note - The Scheme will not have any exposure to derivatives. If a Scheme decides to invest in securitized debt (Single loan and / or Pool loan Securitised debt), it could be upto 25% of the corpus of the Scheme.

In the event of any deviation from the asset allocation stated above, the Fund Manager shall review and rebalance the portfolio within 30 days (from the date of such deviation).

**The Scheme will have exposure in the following instruments:**

Instruments	Credit Rating	A
NCDs		100%

The Scheme will not have any exposure to Securitized Debt.

The tenure of the Scheme is 1092 days from the date of the allotment.

1. The Scheme shall endeavour to invest in instruments having credit rating as indicated above or higher.
2. In case instruments/securities as indicated above are not available, taking into account risk – reward analysis of such instruments/ securities, the Scheme may invest in Certificate of Deposits (CDs) of banks having highest ratings/ CBLOs/ Reverse Repo and Repo in Government Securities / Government Securities/ T- Bills.
3. All investment shall be made based on the rating prevalent at the time of investment. In case security is rated by more than one rating agency, the most conservative rating would be considered.
4. The Scheme would not invest in unrated securities (except CBLOs/ Reverse Repo and Repo in Government Securities / Government Securities / T-bills) and derivatives.
5. Post New Fund Offer period and towards the maturity the Scheme, there may be higher allocation to cash and cash equivalent.
6. In the event of any deviations from the ceiling of credit ratings specified for any instrument, the same shall be rebalanced within 30 days from the date of the said deviation.
7. Securities with rating A shall include A+ and A-.
8. Further, the allocation may vary during the tenure of the Scheme. Some of these instances are: (i) coupon inflow; (ii) the instrument is called or bought back by the issuer (iii) in anticipation of any adverse credit event. In case of such deviations, the Scheme may invest in Bank CDs of highest rating/ CBLOs/ Reverse Repo and Repo in Government Securities / Government Securities/ T- Bills.

There would not be any variation from the intended portfolio allocation as stated in the launch Scheme Information Document / Key Information Memorandum on the final allocation, except as specified in point nos. 1,2,5,6 and 8.

In the event of any deviation from the asset allocation stated above, the Fund Manager shall review and rebalance the portfolio within 30 days from the date of such deviation except in case where the deviation is on account of the conditions stated in point 1,2 and 8 above.

The investors/unit holders can ascertain details of portfolio of the Scheme as on the last date of each month on AMC's website at [www.icicipruamc.com](http://www.icicipruamc.com) which is displayed as per SEBI Regulations.

**WHERE WILL THE SCHEME INVEST?**

Subject to the Regulations and the disclosures as made under the section "Asset Allocation Pattern", the corpus of the Scheme can be invested in any (but not exclusively) of the following securities:

1. Securities created and issued by the Central and State Governments and/or repos/ reverse repos in such Government Securities as may be permitted by RBI (including but not limited to coupon bearing bonds, zero coupon bonds and treasury bills);
  2. Securities guaranteed by the Central and State Governments (including but not limited to coupon bearing bonds, zero coupon bonds and treasury bills);
  3. Fixed Income Securities of domestic Government agencies and statutory bodies, which may or may not carry a Central/State Government guarantee;
  4. Corporate debt (of both public and private sector undertakings);
  5. Securities issued by both public and private sector banks and development financial institutions;
  6. Money market instruments permitted by SEBI/RBI, in alternative investment as may be provided by the RBI to meet the liquidity requirements;
  7. The non-convertible part of convertible securities;
  8. Any other domestic fixed income securities as permitted by SEBI / RBI from time to time.
  9. Bank Fixed Deposits and any such instruments as permitted by SEBI and in accordance with the final allocation.
- The securities/debt instruments mentioned above could be listed or unlisted, secured or unsecured of varying maturity.
- Negative list of sectors:** The Scheme will not invest in Companies falling within Gems and Jewellery and Real Estate Sector.

The Scheme will not invest/ have exposure in the following:

1. ADR/GDR and foreign securities
2. Derivatives
3. Companies falling within Gems and Jewellery and Real Estate Sector
4. Unrated securities (except CBLs/ Reverse Repo and Repo in Government Securities / Government Securities / T-bills)
5. Repos in corporate debt securities
6. Credit Default Swaps transactions
7. Securitised Debt

**Sector restrictions:** Total exposure in a particular sector shall not exceed 30% of the net assets of the Scheme. Sectoral classification as prescribed by AMFI shall be used in this regard. This limit shall not be applicable to investments in Bank CDs, CBLO, G-Secs, T-Bills and AAA rated securities issued by Public Financial Institutions and Public Sector Banks.

However, an additional exposure not exceeding 10% of the net assets of the Scheme (over and above the limit of 30%) shall be allowed by way of increase in exposure to Housing Finance Companies (HFCs) only as part of the financial services sector. The additional exposure to such securities issued by HFCs must be rated AA and above and these HFCs should be registered with National Housing Bank (NHB) and the total investment/ exposure in HFCs shall not exceed 30% of the net assets of the scheme.

#### INVESTMENT STRATEGY:

The Scheme will invest in a basket of permissible securities maturing on or before maturity of the Scheme. The Scheme will invest in securities with a view to hold them till the maturity. To that effect the Scheme will follow a buy and hold strategy to investment. The AMC aims to identify securities, which offer superior levels of yield at lower levels of risks. With the aim of controlling risks, rigorous in-depth credit evaluation of the securities proposed to be invested in will be carried out by the investment team of the AMC. The credit evaluation includes a study of the operating environment of the company, the past track record as well as the future prospects of the issuer, the short as well as longer-term financial health of the issuer. The AMC will be guided by the ratings of such Rating Agencies as approved by SEBI to carry out the functioning of Rating Agencies.

In addition, the investment team of the AMC will study the macro economic conditions, including the political, economic environment and factors affecting liquidity and interest rates. The AMC would use this analysis to attempt to predict the likely direction of interest rates and position the portfolio appropriately to take advantage of the same.

**RISK PROFILE OF THE SCHEME:** Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme Specific Risk Factors summarised below.

#### Scheme Specific Risk Factors and Risk Management Strategies:

Investments in the scheme may be affected by trading volumes, settlement periods, fluctuations in interest rates, liquidity risk, credit risk, reinvestment risk, settlement risk, regulatory risk, counter party risk and securitized debt.

**Risk management strategies:** The Fund by utilizing a holistic risk management strategy will endeavor to manage risks associated with investing in debt markets. The risk control process involves identifying & measuring the risk through various risk measurement tools.

The Fund has identified following risks of investing in debt and designed risk management strategies, which are embedded in the investment process to manage such risks.

Risk & Description specific to Debt	Risk mitigants / management strategy
<p><b>Market Risk</b> As with all debt securities, changes in interest rates may affect the Scheme's Net Asset Value as the prices of securities generally increase as interest rates decline and generally decrease as interest rates rise. Prices of long-term securities generally fluctuate more in response to interest rate changes than do short-term securities. Indian debt markets can be volatile leading to the possibility of price movements up or down in fixed income securities and thereby to possible movements in the NAV.</p>	<p>The fund will invest in a basket of debt and money market securities maturing on or before maturity of the Scheme with a view to hold them till the maturity of the Scheme. While the interim NAV will fluctuate in response to changes in interest rates, the final NAV will be more stable. To that extent the interest rate risk will be mitigated at the maturity of the scheme.</p>
<p><b>Liquidity or Marketability Risk</b> This refers to the ease with which a security can be sold at or near to its valuation yield-to-maturity (YTM). The primary measure of liquidity risk is the spread between the bid price and the offer price quoted by a dealer. Liquidity risk is today characteristic of the Indian fixed income market.</p>	<p>The Scheme may invest in government securities, corporate bonds and money market instruments. While the liquidity risk for government securities, money market instruments and short maturity corporate bonds may be low, it may be high in case of medium to long maturity corporate bonds.</p> <p>Liquidity risk is today characteristic of the Indian fixed income market. The fund will however, endeavor to minimise liquidity risk by investing in securities having a liquid market.</p>
<p><b>Credit Risk</b> Credit risk or default risk refers to the risk that an issuer of a fixed income security may default (i.e., will be unable to make timely principal and interest payments on the security). Because of this risk corporate debentures are sold at a higher yield above those offered on Government Securities which are sovereign obligations and free of credit risk. Normally, the value of a fixed income security will fluctuate depending upon the changes in the perceived level of credit risk as well as any actual event of default. The greater the credit risk, the greater the yield required for someone to be compensated for the increased risk.</p>	<p>A traditional SWOT analysis will be used for identifying company specific risks. Management's past track record will also be studied. In order to assess financial risk a detailed assessment of the issuer's financial statements will be undertaken to review its ability to undergo stress on cash flows and asset quality. A detailed evaluation of accounting policies, off-balance sheet exposures, notes, auditors' comments and disclosure standards will also be made to assess the overall financial risk of the potential borrower.</p>
<p><b>Reinvestment Risk</b> This risk refers to the interest rate levels at which cash flows received from the securities in the Scheme are reinvested. The additional income from reinvestment is the "interest on interest" component. The risk is that the rate at which interim cash flows can be reinvested may be lower than that originally assumed.</p>	<p>Reinvestment risks will be limited to the extent of coupons received on debt instruments, which will be a very small portion of the portfolio value.</p>

#### PLAN/ OPTIONS:

Following Plan / Options will be available under the Scheme:

Plans	Direct Plan and Regular Plan
<b>Default Plan (if no plan selected)</b>	<ul style="list-style-type: none"> <li>a) If broker code is not mentioned the default plan is Direct Plan</li> <li>b) If broker code is mentioned the default plan is Regular Plan</li> </ul>
<b>Default Plan (in certain circumstances)</b>	<ul style="list-style-type: none"> <li>• If Direct Plan is opted, but ARN code is also stated, then application would be processed under Direct Plan</li> <li>• If Regular Plan is opted, but ARN code is not stated, then the application would be processed under Direct Plan</li> </ul>
<b>Options/ sub-options</b>	Cumulative Option and Dividend Option with only Dividend Payout sub-option
<b>Default Option</b>	Cumulative Option

All the Plans and Options stated above will have a common portfolio. Direct Plan is only for investors who purchase/ subscribe Units in Scheme directly with the Fund. Half yearly dividend frequency will be available under the Scheme, subject to availability of distributable surplus.

Dividend Payout is the only option available under Dividend option.

The Trustee reserves the right to declare dividends under the Scheme depending on the net distributable surplus available under the Scheme. It should, however, be noted that actual distribution of dividends and the frequency of distribution will depend, inter-alia, on the availability of distributable surplus and will be entirely at the discretion of the Trustee.

**LIQUIDITY:**

The units of the Scheme will be listed on the NSE. However, the trustee reserves the right to list the units of the Scheme on any other Stock Exchange without any change in the fundamental attributes.

**MATURITY:**

The tenure of the Scheme will be 1092 days from the date of allotment. The Scheme shall be fully redeemed at the end of the maturity period. If the maturity date falls on a non business day, the immediately following business day will be considered as the maturity date for the Scheme.

Regular and Direct Plans and the options thereunder will have a common portfolio.

On maturity of the Scheme, the outstanding units shall be redeemed and proceeds will be paid to the unitholder. The trustees reserve the right to suspend/deactivation/freeze trading, ISIN of the Plans under the Scheme. With respect to closure of the Scheme at the time of maturity, trading of units on stock exchange will automatically get suspended from the effective date mentioned in the notice. The proceeds on maturity will be payable to the persons whose names are appearing in beneficiary position details received from depositories after the suspension/deactivation/freezing of ISIN.

Maturity proceeds would be payable to investors as per the bank details provided in beneficiary position details received from depositories, in case of units held in demat form.

**Maturity proceeds to NRI investors:** NRI investors shall submit Foreign Inward Remittance Certificate (FIRC) along with Broker contract note of the respective broker through whom the transaction was effected, for releasing redemption proceeds on maturity. Redemption proceeds shall not be remitted until the aforesaid documents are submitted and the AMC/ Mutual Fund/Registrar shall not be liable for any delay in paying redemption proceeds.

In case of non-submission of the aforesaid documents the AMC reserves the right to deduct the tax at the highest applicable rate without any intimation by AMC/Mutual Fund/ Registrar.

The Scheme shall be fully redeemed at the end of the maturity period.

**APPLICABLE NAV**

For Purchases (including Switch-ins): Being a Close-ended Scheme, units of the Scheme can be purchased during NFO period only.

In respect of valid applications received upto the last date of the New Fund offer period by the Mutual Fund along with a local cheque or demand draft payable at par at the place where the application is received, the units will be issued.

**Applicable NAV (for redemptions including switch outs):** Since the scheme is proposed to be listed, interim exits / redemptions will not be allowed in the scheme.

**Switch transactions during NFO:**

**Switch into the Scheme:** Investors may switch all or part of their holdings to the Scheme during the New Fund Offer Period. Switch-in requests are subject to the minimum application amount as mentioned in this Scheme Information Document.

For switch-in requests received from open – ended schemes during the New Fund Offer Period (NFO) under the Scheme, the switch-out requests from such Scheme will be effected based on the applicable NAV of such Scheme, as on the day of receipt of the switch request, subject to applicable cut-off timing provisions. However, the switch-in requests under the Scheme will be processed on the date of the allotment of the Units. AMC shall not be liable for losses incurred, if any, by the investor due to the time lag between the date of switch-outs and the date of Switch-in into the Scheme.

**Switch out from the scheme:** Investors are requested to note that a facility has been enabled for submitting switch out request 10 calendar days in advance, prior to the maturity date of the scheme. The switch out transaction will be processed based on the applicable Net Asset Value (NAV) on the date of maturity.

This facility is enabled for switch in to any of the New Fund Offers or any open-ended scheme of ICICI Prudential Mutual Fund. This facility is not available for units held in demat form.

Investors are requested to note that switch out requests once submitted shall not be cancelled at later date. The AMC shall not be liable for any loss due to subsequent movement in NAV.

**Investors can also subscribe to the New Fund Offer (NFO) through ASBA facility.**

Outstation Cheques/Demand Drafts will not be accepted.

MICR cheques will be accepted till the end of business hours upto January 24, 2014. Transfer cheques and Real Time Gross Settlement (RTGS) requests will be accepted till the end of business hours upto January 28, 2014. Switch-in request from equity schemes will be accepted upto January 24, 2014 and from non-equity schemes upto January 28, 2014, till the cut-off time applicable for switches.

Switch-in request from ICICI Prudential US Bluechip Equity Fund and ICICI Prudential Global Stable Equity Fund will not be accepted.

**MINIMUM APPLICATION AMOUNT:**

Purchase/Switch-ins: Rs. 5,000/- and in multiples of Rs. 10 thereafter.

**DESPATCH OF REDEMPTION REQUEST:**

No redemption/ repurchase of units shall be allowed prior to the maturity of the scheme. Investors wishing to exit may do so by selling their units through stock exchanges. The scheme shall be fully redeemed on the date of maturity and redemption proceeds shall be paid out within 10 business days, subject to availability of all relevant documents and details.

The redemption cheque will be issued in favour of the sole/first Unitholder's registered name and bank account number and will be sent to the registered address of the sole/first holder as indicated in the original Application Form/Benpos file. The redemption cheque will be payable at par at all the places where the Customer Service Centres are located. The bank charges for collection of cheques at all other places will be borne by the Unitholder.

**BENCHMARK INDEX:**

Duration	Benchmark
1092 Days	CRISIL Short Term Bond Fund Index

**DIVIDEND POLICY:**

The Trustee may approve the distribution of dividends by the AMC out of the net surplus of the Scheme. To the extent the net surplus is not distributed, the same will remain invested in the Scheme and be reflected in the NAV.

**NAME OF THE FUND MANAGER:** Mr. Rahul Goswami jointly with Mr. Rohan Maru

**NAME OF THE TRUSTEE COMPANY:** ICICI Prudential Trust Limited

**PERFORMANCE OF THE SCHEME:** The Scheme is a new scheme and does not have any Performance Track Record.

**EXPENSES OF THE SCHEME:**

**Load Structure:**

**Entry load:** Not applicable. In terms of SEBI circular no. SEBI/IMD/CIR No.4/168230/09 dated June 30, 2009, no entry load will be charged by under the Scheme to the investor effective August 1, 2009. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

**Exit load:** Being a listed Scheme, no exit load provisions will be applicable. Investors shall note that the brokerage on sales of the units of the Scheme on stock exchange shall be borne by the investor.

**Recurring Expenses:**

As per the Regulations, the maximum recurring expenses that can be charged to the Scheme shall be subject to a percentage limit of daily net assets as in the table below:

First Rs. 100 crore	Next Rs. 300 crore	Next Rs. 300 crore	Over Rs. 700 crore
2.25%	2.00%	1.75%	1.50%

The above table excludes additional expenses that can be charged towards: i) 20 bps under the Regulation 52(6A)(c), ii) 30 bps for gross new inflows from specified cities and iii) service tax on investment management and advisory fees. The same is more specifically elaborated below.

At least 10% of the TER (Total Expense Ratio) is charged towards distribution expenses/ commission in the Regular Plan. The TER of the Direct Plan will be lower to the extent of the abovementioned distribution expenses/ commission (at least 10%) which is charged in the Regular Plan.

The annual recurring expenses are fungible within the overall maximum limit prescribed under SEBI (Mutual Funds) Regulations. This means that mutual fund can charge expenses within overall limits, without any internal cap on the aforesaid expenses head.

Pursuant to SEBI circular CIR/IMD/DF/21/2012 dated September 13, 2012 and SEBI (Mutual Funds) Second Amendment Regulations, 2012, following additional costs or expenses may be charged to the scheme, namely:

- (i) The AMC may charge service tax on investment and advisory fees to the scheme of the Fund in addition to the maximum limit of total expenses ratio as prescribed in Regulation 52 of the Regulations, whereas service tax on other than investment and advisory fees, if any, shall be borne by the scheme within the maximum limit as per regulation 52 of the Regulations.
- (ii) expenses not exceeding of 0.30 per cent of daily net assets, if the new inflows from such cities as specified by the Securities and Exchange Board of India, from time to time are at least –
  - 30 per cent of the gross new inflows into the scheme, or;
  - 15 per cent of the average assets under management (year to date) of the scheme,
 whichever is higher;
 

Provided that if inflows from such cities are less than the higher of the above, such expenses on daily net assets of the scheme shall be charged on proportionate basis;

Provided further that expenses charged under this clause shall be utilised for distribution expenses incurred for bringing inflows from such cities;

Provided further that amount incurred as expense on account of inflows from such cities shall be credited back to the scheme in case the said inflows are redeemed within a period of one year from the date of investment.
- (iii) Additional expenses, incurred towards different heads mentioned under sub-regulations (2) and (4) of Regulation 52 of the Regulations, not exceeding 0.20 per cent of daily net assets of the scheme.

Additionally at least 2 basis points on daily net assets within the maximum limit of overall expense Ratio shall be annually set apart for investor education and awareness initiatives.

Further, the brokerage and transaction cost incurred for the purpose of execution of trade may be capitalized to the extent of 12bps for cash market transactions. Any payment towards brokerage and transaction cost, over and above the said 12 bps cash market transactions may be charged to the scheme within the maximum limit of Total Expense Ratio as prescribed under regulation 52 of the SEBI (Mutual Funds) Regulations, 1996. Service tax on brokerage and transaction cost paid for execution of trade, if any, shall be within the limit prescribed under regulation 52 of the Regulations.

Subject to Regulations, expenses over and above the prescribed limit shall be borne by the Asset Management Company.

**ACTUAL EXPENSES FOR THE PREVIOUS FINANCIAL YEAR:**

Not Applicable as the Scheme is new.

**WAIVER OF LOAD FOR DIRECT APPLICATIONS:**

Not applicable.

**TAX BENEFITS OF INVESTING IN THE MUTUAL FUND:**

Investors are advised to refer to Statement of Additional Information (SAI) available on the website of AMC viz; [icicipruamc.com](http://icicipruamc.com) and also independently refer to the tax advisor.

**PUBLICATION OF DAILY NET ASSET VALUE (NAV):**

The AMC will calculate and disclose the first NAV within 5 business days from the date of allotment. Subsequently, the NAV will be calculated and disclosed at the close of every business day. NAV shall be published at least in two daily newspapers having circulation all over India. NAV shall be made available at all Customer Service Centers of the AMC. AMC shall update the NAVs on the website of Association of Mutual Funds in India - AMFI ([www.amfiindia.com](http://www.amfiindia.com)) and AMC website ([www.icicipruamc.com](http://www.icicipruamc.com)) by 9:00 p.m. on every Business Day.

**FOR INVESTOR GRIEVANCES PLEASE CONTACT:**

Name and Address of Registrar	Name, address, telephone number, fax number, e-mail address of ICICI Prudential Mutual Fund
Computer Age Management Services Private Limited (CAMS), New No 10. Old No. 178, Opp. to Hotel Palm Grove, MGR Salai (K.H.Road) Chennai - 600 034	Mr. Yatin Suvarna - Investor Relations Officer. 2 <sup>nd</sup> Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon (East), Mumbai – 400 063 Tel No.: 022 26852000, Fax No.: 022-2686 8313 e-mail - <a href="mailto:enquiry@icicipruamc.com">enquiry@icicipruamc.com</a>

**UNITHOLDERS' INFORMATION:**

The AMC shall disclose portfolio of all Schemes on the website [www.icicipruamc.com](http://www.icicipruamc.com) alongwith ISIN on a monthly basis as on last day of each month, on or before tenth day of the succeeding month.

The Fund shall before the expiry of one month from the close of each half year, that is as on March 31 and September 30, publish its scheme portfolios in one English daily newspaper having all India circulation and in a newspaper published in the language of the region where the Head Office of the AMC is situated in the prescribed format and update the same on AMC's website at [www.icicipruamc.com](http://www.icicipruamc.com) and AMFI's website [www.amfiindia.com](http://www.amfiindia.com).

In terms of Regulations 59 and SEBI circular no. CIR/IMD/DF/21/2012 dated September 13, 2012, the AMC shall within one month from the close of each half year, that is on 31st March and on 30th September, host a soft copy of its unaudited financial results on their website. The half-yearly unaudited report shall contain details as specified in Twelfth Schedule and such other details as are necessary for the purpose of providing a true and fair view of the operations of the mutual fund. Further, the AMC shall publish an advertisement disclosing the hosting of such financial results on their website, in atleast one English daily newspaper having nationwide circulation and in a newspaper having wide circulation published in the language of the region where the Head Office of the mutual fund is situated.

It is hereby notified that wherever the investor(s) has/have provided his/their e-mail address in the application form in any of the folio belonging to the investor(s), the Fund/ Asset Management Company reserves the right to use Electronic Mail (e-mail) as a default mode to send various communication for transactions done by the investor(s).

**TRANSACTION CHARGES**

Pursuant to SEBI Circular No. Cir/ IMD/ DF/13/ 2011 dated August 22, 2011 transaction charge per subscription of Rs.10,000/- and above may be charged in the following manner:

- i. The existing investors may be charged Rs.100/- as transaction charge per subscription of Rs.10,000/- and above;
- ii. A first time investor may be charged Rs.150/- as transaction charge per subscription of Rs.10,000/- and above.

There shall be no transaction charge on subscription below Rs. 10,000/- and on transactions other than purchases/ subscriptions relating to new inflows.

Investors may note that distributors can opt to receive transaction charges based on 'type of the Scheme'. Accordingly, the transaction charges would be deducted from the subscription amounts, as applicable.

The aforesaid transaction charge shall be deducted by the Asset Management Company from the subscription amount and paid to the distributor, as the case may be and the balance amount shall be invested in the relevant scheme opted by the investor.

However, upfront commission to distributors will be paid by the investor directly to the distributor, based on his assessment of various factors including the service rendered by such distributor.

Transaction Charges shall not be deducted if:

- Purchase/Subscription made directly with the fund through any mode (i.e. not through any distributor/agent).
- Purchase/ subscription made through stock Exchange, irrespective of investment amount.

CAS/ Statement of account shall state the net investment (i.e. gross subscription less transaction charge) and the number of units allotted against the net investment.

**CONSOLIDATED ACCOUNT STATEMENT (CAS):**

1. The Consolidated Account Statement (CAS) for each calendar month will be issued on or before tenth day of succeeding month to the investors who have provided valid Permanent Account Number (PAN). Due to this regulatory change, AMC shall now cease to send physical account statement to the investors after every financial transaction\*\* including systematic transactions. Further, CAS will be sent via email where any of the folios consolidated has an email id or to the email id of the first unit holder as per KYC records.

\*\*The word 'financial transaction' shall include purchase, redemption, switch, dividend payout, dividend reinvestment, systematic investment plan, systematic withdrawal plan, systematic transfer plan and bonus transactions.

2. For folios not included in the Consolidated Account Statement (CAS), the AMC shall henceforth issue account statement to the investors on a monthly basis, pursuant to any financial transaction in such folios on or before tenth day of succeeding month.

In case of a New Fund Offer Period (NFO), the AMC shall send confirmation specifying the number of units allotted to the applicant by way of a physical account statement or an email and/or SMS's to the investor's registered address and/or mobile number not later than five business days from the date of closure of the NFO.

3. In case of a specific request received from the unit holder, the AMC shall provide the account statement to the investors within 5 business days from the receipt of such request.

4. In the case of joint holding in a folio, the first named Unit holder shall receive the CAS/account statement. The holding pattern has to be same in all folios across Mutual Funds for CAS.

Further, in case if no transaction has taken place in a folio during the period of six months ended September 30 and March 31, the CAS detailing the holdings across all Schemes of all mutual funds, shall be emailed at the registered email address of the unitholders on half yearly basis, on or before tenth day of succeeding month, unless a specific request is made to receive the same in physical form.

In case of the units are held in dematerialized (demat) form, the statement of holding of the beneficiary account holder will be sent by the respective Depository Participant periodically.

The AMC reserve the right to furnish the account statement in addition to the CAS, if deemed fit in the interest of investor(s).

**MAILING OF SCHEME WISE ANNUAL REPORT OR ABRIDGED SUMMARY:**

Pursuant to Securities and Exchange Board of India (Mutual Funds) (Amendments) Regulations, 2011 dated August 30, 2011 read with SEBI circular No. Cir/ IMD/ DF/16/ 2011 dated September 8, 2011, the unit holders are requested to note that scheme wise annual report and/or abridged summary of annual reports of the Schemes of the Fund shall be sent to the unit holders only by email at their email address registered with the Fund.

Physical copies of the annual report or abridged summary of annual reports will be sent to those Unit holders whose email address is not available with the Fund and/or who have specifically requested or opted for the same.

The unit holders are requested to update/ provide their email address to the Fund for updating the database.

Physical copy of the scheme wise annual report or abridged summary will be available to the unit holders at the registered office of the Fund/AMC. A separate link to scheme annual report or abridged summary is available on the website of the Fund.

As per regulation 56(3) of the Regulations, copy of Schemewise Annual Report shall be also made available to unitholder on payment of nominal fees. Further as per Securities and Exchange Board of India (Mutual Funds) (Third Amendment) Regulations, 2008

Notification dated September 29, 2008 & SEBI Circular No. SEBI/IMD/CIR No. 10/141712/ 08 October 20, 2008, the schemewise Annual Report of a mutual fund or an abridged summary shall be mailed to all unitholders as soon as may be possible but not later than four months from the date of closure of the relevant accounts year.

**CASH INVESTMENTS IN THE SCHEME:**

Pursuant to SEBI circular dated September 13, 2012, it is permitted to accept cash transactions to the extent of Rs. 20,000/- subject to compliance with Prevention of Money Laundering Act, 2002 and Rules framed there under and the SEBI Circular(s) on Anti Money Laundering (AML) and other applicable AML rules, regulations and guidelines. Provided that the limit shall be applicable per investor for investments done in a financial year across all schemes of the Mutual Fund, subject to sufficient systems and procedures in place for such acceptance. However any form of repayment either by way of redemption, dividend, etc. with respect to such cash investment shall be paid only through banking channel.

The Asset Management Company is in process of implementing adequate systems and controls to accept Cash Investment in the Scheme. Information in this regard will be provided to Investors as and when the facility is made available.

**MULTIPLE BANK ACCOUNTS:**

The unit holder/ investor can register multiple bank account details under its existing folio by submitting separate form available on the website of the AMC at [www.icicipruamc.com](http://www.icicipruamc.com). Individuals/HuF can register upto 5 different bank accounts for a folio, whereas non-individuals can register upto 10 different bank accounts for a folio.

Note: The Scheme under this document was approved by the Directors of ICICI Prudential Trust Limited vide resolution dated September 16, 2013 passed by circulation.

For and on behalf of the Board of Directors of

**ICICI Prudential Asset Management Company Limited**

**Sd/-  
Nimesh Shah  
Managing Director**

**Place: Mumbai  
Date: January 01, 2014**

**ICICI Prudential Mutual Fund Official Points of Acceptance**

<ul style="list-style-type: none"> <li>• <b>Ahmedabad</b>: 307, 3rd Floor, Zodiac Plaza, Beside Nabard Vihar, Near St. Xavier's College Corner, H. L. College Road, Off C. G. Road, Ahmedabad - 380009 (Gujarat) • <b>Bangalore</b>: Phoenix Pinnacle, First Floor, Unit 101-104, No. 46 Ulsoor Road, Bangalore - 560042 • <b>Baroda (Vadodara)</b>: 2nd Floor, Office no 202, Gold Croft, Jetalpur Road, Alkapuri, Vadodara - 390007 • <b>Bhopal</b>: MF - 26/27 Block - C, Mezzanine floor, Mansarovar Complex, Hoshangabad Road, Bhopal - 462016, Madhya Pradesh • <b>Bhubhaneshwar</b>: Rajdhani House, 1st Floor, Front Wing, 77, Janpath, Kharvel Nagar, Bhubaneswar, Odisha 751001 • <b>Chandigarh</b>: SCO 137-138 1st Floor, Sector 9-C, Chandigarh 160 017 • <b>Chennai</b>: Abithil Square, No.189, Lloyds Road, Chennai 600 014 • <b>Coimbatore</b>: "Shylaja Complex", First Floor, No 575 C, D.B. Road, Near Post Office Signal, R. S. Puram, Coimbatore 641002 • <b>Dehradun</b>: 1st floor, Opposite St. Joseph School back gate, 33, Subhash Road, Dehradun - 248001, Uttaranchal • <b>Durgapur</b>: Mezzanine Floor, Lokenath Mansion, Sahid Khudiram Sarani, City Centre, Durgapur - 713216, West Bengal • <b>Hyderabad</b>: Gowra Plaza, 1st Floor, No. 1-8-304-307/381/444, S. P. Road, Begumpet, Secunderabad - 500 003 • <b>Indore</b>: 310-311 Starlit Tower, 29/1 Y N Road, Indore - 452001, Madhya Pradesh • <b>Jaipur</b>: Building No.1, Opposite Amrapura Sthaan, M.I. Road, Jaipur - 302 001, (Rajasthan) • <b>Jamshedpur</b>: Office No. 7, II Floor, Bharat Business Centre, Holding # 2, Ram Mandir Area, Bistupur, Jamshedpur - 831001, Jharkhand • <b>Kanpur</b>: 516-518, Krishna Tower, 15/63 Civil Lines, Opp. U.P. Stock Exchange, Kanpur 208001 • <b>Kochi</b>: # 956/3 &amp; 956/4, 2nd Floor, Teepeyem Towers, Kurushupally Road, Off M.G. Road, Ravipuram, Cochin - 682015 • <b>Kolhapur</b>: 1089, E-ward, Anand Plaza, Rajaram Road, Kolhapur - 416001, Maharashtra • <b>Kolkata</b>: 4th Floor, Anandlok, Block B, 227, A. J.C Bose Road, Kolkata 700020 • <b>Lucknow</b>: 1st Floor, Modern Business Centre, 19 Vidhansabha Marg, Lucknow 226001 • <b>Ludhiana</b>: SCO 121, Ground Floor, Feroze Gandhi Market, Ludhiana 141 001 • <b>Mumbai (Central Service Office - Goregaon)</b>: 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon (East), Mumbai - 400 063. Tel.: 022-26852000, Fax No.: 022-2686 8313 • <b>Mumbai (Fort)</b>: 2nd Floor, Brady House, 12/14, Veer Nariman Road, Fort, Mumbai 400 001 • <b>Mumbai (Borivali)</b>: Ground Floor, Suchitra Enclave, Maharashtra Lane, Borivali (West), Mumbai 400 092 • <b>Mumbai (Khar)</b>: 101, 1st Floor, Abbas Manzil, Opposite Khar Police Station, S. V. Road, Khar (West), Mumbai - 400052 • <b>Mumbai (Thane)</b>: Ground Floor, Mahavir Arcade, Ghantali Road, Naupada, Thane West 400 602 • <b>Nagpur</b>: 1st floor, Mona Enclave, WHC Road, Near Coffee House Square, Above Titan Eye Showroom, Dharampeth, Nagpur - 440010, Maharashtra • <b>Nashik</b>: Shop No. 1, Rajeev Enclave, Near Old Municipal Corporation, New Pandit colony, Nashik - 422002, Maharashtra • <b>Navi Mumbai - Vashi</b>: Office No. 26, Devarata Co-op Housing Society, Ground floor, Plot No. 83, Sector 17, Landmark: Near Babubhai Jivandas Showroom, Near Axis Bank, Vashi, Navi Mumbai - 400703 • <b>New Delhi</b>: 12th Floor, Narain Manzil, 23, Barakhamba Road, New Delhi 110 001 • <b>Noida</b>: F-25, 26 &amp; 27, First Floor, Savitri market, Sector-18, Noida 201301 • <b>Panjim</b>: Shop No. 687, Sandeep Apartment, Dr. Dada Vaidya Road, Panjim 403 001 Goa. • <b>Patna</b>: 1st Floor, Kashi Palace, Dak Bungalow Road, Patna 800 001 • <b>Pune</b>: 1205/4/6, Shivaji Nagar, Chimbalkar House, Opp. Sambhaji Park, J.M. Road, Pune 411004 • <b>Rajkot</b>: Office No. 201, 2nd Floor, Akshar X, Jagannath-3, Dr. Yagnik Road, Rajkot - 360001 • <b>Surat</b>: HG-30, Block-B, International Trade Centre, Majura Gate, Surat 395002. • <b>Udaipur</b>: Shukrana, 6, Durga Nursery Road, Near Sukhadia Memorial, Udaipur 313001 • <b>Varanasi</b>: D-58/2, Unit No. 52&amp;53, 1st floor, Kuber complex, Rath Yatra crossing, Varanasi - 221010, Uttar Pradesh.</li> </ul>	
--	--

*Toll Free Numbers: (MTNL/BSNL) 1800222999 ; (Others) 1800206666 • Website: www.icicipruamc.com*

**Other Cities: Additional official transaction acceptance points (CAMS Transaction Points)**

<ul style="list-style-type: none"> <li>• <b>Agartala</b>: Advisor Chowmuhan (Ground Floor), Krishnanagar, Agartala-799001, Tripura, Tel.: (381) 2323009, 2223009, 9862923301 • <b>Agra</b>: No. 8, II Floor, Maruti Tower, Sanjay Place, Agra-282002, Uttarpradesh Tel.: (0562) 3242267, 2521170 • <b>Ahmedabad</b>: 402-406, 4th Floor - Devpath Building, Off C G Road Behind Lal Bungalow Ellis Bridge, Ahmedabad-380006, Gujarat Tel.: (079) 30082468 • <b>Ajmer</b>: AMC No. 423/30 Near Church Brahmampuri, Opp. T B Hospital, Jaipur Road, Ajmer-305001, Rajasthan Tel.: (0145) 3292040, 2425814 • <b>Akola</b>: Opp. RLT Science College, Civil Lines, Akola-444001, Maharashtra, Tel.: (724) 3203830, 2431702 • <b>Aligarh</b>: City Enclave, Opp. Kumar Nursing Home, Ramghat Road, Aligarh-202001, Uttar Pradesh, Tel.: (571) 3200301, 2402089 • <b>Alleppey</b>: Bldg. No. VIII / 411, C. C. N. B. Road, Near Pagoda Resort, Chungom, Alleppey-688011, Kerala, Tel.: (477) 3209718 • <b>Allahabad</b>: 30/2, A&amp;B, Civil Lines Station, Besides Vishal Mega Mart, Strachey Road, Allahabad-211001, Uttarpradesh Tel.: (0532) 3291274, 2404055 • <b>Alwar</b>: 256A, Scheme No.1, Arya Nagar, Alwar-301001, Rajasthan Tel.: (0144) 2702324 • <b>Amaravati</b>: 81, Gulshan Tower, 2<sup>nd</sup> Floor Near Panchsheel Talkies, Amaravati-444601, Maharashtra Tel.: (0721) 3291965, 2564304 • <b>Amritsar</b>: SCO - 18J, 'C' BLOCK RANJIT AVENUE, Amritsar-140001, Punjab Tel.: (0183) 5099995, 3221379 • <b>Anand</b>: 101, A.P. Tower, B/H, Sardhar Gunj Next to Nathwani Chambers, Anand-388001, Gujarat, Tel.: (02692) 325071, 240981 • <b>Asansol</b>: Block 'G', 1<sup>st</sup> Floor, P C Chatterjee Market Complex, Rambandhu Talab, P O Ushagram, Asansol-713303, West Bengal Tel.: (0341) 3295235, 3298306 • <b>Aurangabad</b>: Office No. 1, 1st Floor, Amodi Complex, Juna Bazar, Aurangabad-431001, Maharashtra Tel.: (0240) 3295202, 2363664 • <b>Ambala</b>: Opposite Peer Bal Bhavan Road, Ambala-134003 Haryana, Tel.: (171) 3248787 • <b>Anantapur</b>: 15-570-33, 1st Floor, Pallavi Towers, Anantapur-515 001, Andhra Pradesh, Tel.: (8554) 326980, 326921, 227024 • <b>Andheri</b>: CTS No 411, Citipoint, Gundivali, Teli Gali, Above C.T. Chatwani Hall, Andheri-400069, Maharashtra • <b>Ankleshwar</b>: Shop No. F-56, 1st Floor, Omkar Complex, Opp. Old Colony, Near Valia Char Rasta, GIDC, Ankleshwar-393002, Bharuch, Gujarat, Tel.: (02646) 310207, 220059 • <b>Balaseor</b>: B. C. Sen Road, Balaseor-756001, Orissa, Tel.: (06782) 326808, 2264902 • <b>Bangalore</b>: Trade Centre, 1st Floor 45, Dikensen Road (Next to Manipl Centre), Bangalore-560042, Karnataka (080) 30574709 • <b>Bareilly</b>: F-62-63, Butler Plaza, Civil Lines, Bareilly-243001, Uttar Pradesh, Tel.: (581) 3243322, 2554228 • <b>Bellary</b>: 60/5, Mullangi Compound, Gandhinagar Main Road (Old Gopalswamy Road), Bellary-583101, Karnataka, Tel.: (08392) 326848, 268822 • <b>Bhagalpur</b>: Krishna, 1st Floor, Near Mahadev Cinema, Dr. R. P. Road, Bhagalpur-812002, Bihar, Tel.: (641) 3209094, 2409506 • <b>Bharuch</b> (Parent: Ankleshwar</li> </ul>	<ul style="list-style-type: none"> <li>TP): F-108, Rangoli Complex, Station Road, Bharuch-392001, Gujarat, Tel.: 9825304183 • <b>Bhatinda</b>: 2907, GH, GT Road, Near Zila Parishad, Bhatinda-151001, Punjab, Tel.: (164) 3204511, 2210633 • <b>Bhubaneswar</b>: Plot No - 111, Varaha Complex Building 3rd Floor, Station Square Kharvel Nagar, Unit 3, Bhubaneswar-751001, Orissa Tel.: (0674) 3253307 • <b>Bhuj</b>: Data Solution, Office No. 17, 1st Floor, Municipal Building, Opp. Hotel Prince, Station Road, Bhuj-370001, Kutch, Gujarat, Tel.: (02832) 320924, 227176 • <b>Bikaner</b>: F-4.5, Bothra Complex, Modern Market, Bikaner-334001, Rajasthan, Tel.: (151) 3201590 • <b>Bilaspur</b>: Beside HDFC Bank, Link Road, Bilaspur-495001, Chattisgarh, Tel.: (7752) 327886 • <b>Belgaum</b>: 1st Floor, 221/2A/1B Vaccine Depot Road, Near 2nd Railway gate, Tilakwadi, Belgaum-590006, Karnataka, Tel.: (0831) 3299598, 2425304 • <b>Berhampur</b>: First Floor, Upstairs of Aaroon Printers, Gandhi Nagar, Main Road, Berhampur-760001, Orissa Tel.: (0680) 3205855, 2220001 • <b>Bhavnagar</b>: 305-306, Sterling Point, Waghawadi Road, OPP HDFC BANK, Bhavnagar-364002, Gujarat Tel.: (0278) 3208387, 2567020 • <b>Bhilai</b>: Shop No. 117, Ground Floor, Khicharia Complex, Opposite IDBI Bank, Nehru Nagar Square, Bhilai-490020. Tel.: 9203900630 • <b>Bhilwara</b>: Indraparstha tower, Second floor, Shyam ki Sabji Mandi, Near Mukharji Garden, Bhilwara-311001, Rajasthan Tel.: (01482) 231808, 321048 • <b>Bhopal</b>: Plot No. 10, 2nd Floor, Alankar Complex Near ICICI Bank MP Nagar, Zone II Bhopal-462011, Madhya Pradesh, Tel.: (0755) 3295873 • <b>Bhusawal</b> (Parent: Jalgaon TP): 3, Adelaide Apartment, Christian Mohala, Behind Gulshan-E-Iran Hotel, Amardeep Talkies Road, Bhusawal-425201, Maharashtra • <b>Bokaro</b>: Mazzanine Floor F-4, City Centre, Sector 4, Bokaro Steel City, Bokaro-827004, Jharkhand Tel.: (06542) 324881 • <b>Burdwan</b>: 399, G T Road, Basement of Talk of the Town, Burdwan 713101, West Bengal Tel.: (0342) 3207077, 2568584 • <b>Calicut</b>: 29/97G, 2nd Floor, Gulf Air Building, Mavoor Road, Arayidathupalam, Calicut-673016, Kerala, Tel.: (0495) 3255984, 2723173 • <b>Chandigarh</b>: Deepak Tower, SCO 154-155, 1st Floor, Sector 17-C, Chandigarh-160017, Punjab Tel.: (0172) 3048720 • <b>Chennai</b>: (OMR) Ground Floor, 148, Old Mahabalipuram Road, Okkiyam, Thuraiyakkam, Chennai-600097, Tamil Nadu, Tel.: (44) 30407144 • <b>Chennai</b>: Ground Floor, No. 178/10, Kodambakkam High Road Opp. Hotel Palmgrove Nungambakkam, Chennai-600 034, Tamil Nadu Tel.: (044) 39115561 • <b>Cochin</b>: Itloop's Imperial Trade Center, Door No. 64/5871 - D, 3<sup>rd</sup> Floor M. G. Road (North), Cochin-682035, Kerala Tel.: (0484) 3234658, 2383830 • <b>Coimbatore</b>: Old # 66 New # 86, Lokamanya Street (West), Ground Floor, R. S. Puram, Coimbatore-641002 Tamil Nadu Tel.: (0422) 3018000, 3018003 • <b>Cuttack</b>: Near Indian Overseas Bank, Cantonment Road, Mata Math, Cuttack-753001, Orissa, Tel.: (0671) 2303722 • <b>Deoghar</b>: S. S. M.</li> </ul>	<ul style="list-style-type: none"> <li>Jalan Road, Ground floor, Opp. Hotel Ashoke, Caster Town, Deoghar-814112, Jharkhand, Tel.: (6432) 320227, 224468 • <b>Durgapur</b>: City Plaza Building, 3rd floor, City Centre, Durgapur-713 216, West Bengal Tel.: (0343) 3298890, 3298891 • <b>Dhanbad</b>: Urmila Towers, Room No. 111 (1st Floor), Bank More, Dhanbad-826001, Jharkhand, Tel.: (0326) 2304675, 2304675 • <b>Davengere</b>: 13, 1st Floor, Akkamahadevi Samaj Complex, Church Road, P.J. Extension, Devengere-577002, Karnataka, Tel.: (08192) 326226, 230038 • <b>Dehradun</b>: 204/121, Nari Shilp Mandir Marg, Old Connaught Place, Dehradun-248001, Uttaranchal, Tel.: (0135) 3258460, 2713233 • <b>Erode</b>: 197, Seshaiyer Complex Agraharam Street, Erode-638001, Tamil Nadu, Tel.: (0424) 3207730, 4272073 • <b>Faridhabad</b>: B-49, 1st Floor, Nehru Ground, Behind Anupam Sweet House, NIT, Faridhabad-121001, Haryana, Tel.: (0129) 3241148, 2410098 • <b>Ghaziabad</b>: 113/6, 1<sup>st</sup> Floor, Navyug Market, Gazhiabad-201001, Uttarpradesh, Tel.: (0120) 3266917, 9910480189 (mobile of CH), 4154476 • <b>Gondal</b> (Parent Rajkot): A/177, Kailash Complex, Opposite Khedut Decor, GONDAL-360 311, Gujarat, Tel.: (0281) 3298158 • <b>Gorakhpur</b>: Shop No. 3, Second Floor, The Mall Cross Road, A. D. Chowk, Bank Road, Gorakhpur-273001, Uttarpradesh, Tel.: (0551) 3294771, 2344065 • <b>Guntur</b>: Door No. 5-38-44/5/1, Brodipet, Near Ravi Sankar Hotel, Guntur-522002, Andhra Pradesh, Tel.: (0863) 3252671, 6680838 • <b>Gurgaon</b>: SCO - 16, Sector - 14, First floor, Gurgaon-122001, Haryana, Tel.: (0124) 3263763, 4082660 • <b>Guwahati</b>: A.K. Azad Road, Rehbari, Guwahati-781008, Assam, Tel.: (0361) 2607771, 2139038 • <b>Gwalior</b>: G-6, Global Apartment, Kailash Vihar Colony, Opp. Income Tax Office, City Centre, Gwalior-474002, Madhya Pradesh, Tel.: (0751) 3202311, 2427662 • <b>Goa</b>: No.108, 1st Floor, Gurudutta Bldg, Above Weekender M G Road, Panaji (Goa), Goa-403001 Tel.: (0832) 3251755 3251640 • <b>Haridwar</b>: No. 7, Kanya Gurukul Road, Krishna Nagar, Haridwar - 249404, Uttarakhand • <b>Hazaribag</b>: Municipal Market, Annanda Chowk, Hazaribagh-825301, Jharkhand, Tel.: (6546) 320250, 223959 • <b>Hisar</b>: 12, Opp. Bank of Baroda, Red Square Market, Hisar-125001, Haryana 125001, Tel.: (1662) 329580, 283100 • <b>Hosur</b>: No.303, SIPCOT Staff Housing Colony, Hosur - 635126, Tamil Nadu, Tel.: (04344) 645010 • <b>Hubli</b>: No. 204 - 205, 1st Floor ' B ' Block, Kundagol Complex Opp. Court, Club Road, Hubli-580029, Karnataka, Tel.: (0836) 3293374, 4255255 • <b>Hyderabad</b>: 208, II Floor, Jade Arcade Paradise Circle, Secunderabad-500 003, Andhra Pradesh Tel.: (040) 39182471, 39182473 • <b>Indore</b>: 101, Shalimar Corporate Centre, 8-B, South tukogunj, Opp. Green park, Indore-452001, Madhya Pradesh Tel.: (0731) 3253692, 3253646 • <b>Jabalpur</b>: 8, Ground Floor, Datt Towers, Behind Commercial Automobiles, Napier Town, Jabalpur-482001, Madhya Pradesh, Tel.: (0761) 3291921, 4017146 • <b>Jalandhar</b>: 367/8, Central Town, Opp. Gurudwara Diwan</li> </ul>
---	--	--



**Other Cities: Additional official transaction acceptance points (CAMS Transaction Points) (Contnd.)**

<p>Hoshiarpur-146001, Punjab, Tel.: (1882) 321082 • <b>Jaunpur:</b> 248, FORT ROAD, Near AMBER HOTEL, Jaunpur-222001, Uttar Pradesh, Tel.: (5452) 321630 • <b>Katni:</b> 1st Floor, Gurunanak Dharmakanta Jabalpur Road, Bargawan, Katni-483501, Madhya Pradesh, Tel.: (7622) 322104 • <b>Khammam:</b> Shop No. 11-2-31/3, 1st floor, Philips Complex, Balajinagar, Wyra Road, Near Baburao Petrol Bunk, KHAMMAM-507001, Andhra Pradesh, Tel.: (8742) 323972 • <b>Malda:</b> Daxhinapan Abasan Opp Lane of Hotel Kalinga SM Pally Malda-732101, West Bengal, Tel.: (3512) 329951 • <b>Manipal:</b> Basement floor, Academy Tower, Opposite Corporation Bank, Manipal - 576104. Tel.: 9243689046 • <b>Mathura:</b> 159/160, Vikas Bazar, Mathura-281001, Uttarpradesh, Tel.: (0565) 3207007 • <b>Moga:</b> Gandhi Road, Opp. Union Bank of India, Moga-142001, Punjab, Tel.: (1636) 310088 • <b>Namakkal:</b> 156A / 1, 1st Floor, Lakshmi Vilas Building, Opp. To District Registrar Office, Trichy Road, Namakkal-637001, Tamil Nadu, Tel.: (4286) 322540 • <b>Palanpur:</b> Tirupati Plaza, 3rd Floor, T - 11, Opp. Government Quarter, College Road, Palanpur - 385001. Tel.: (02742) 321810/811 • <b>Rae Bareli:</b> 17, Anand Nagar Complex, Rae Bareli-229001, Uttar Pradesh, Tel.: (535) 3203360 • <b>Rajapalayam:</b> No. 59 A/1, Railway Feeder Road, Near Railway Station, Rajapalayam-626117, Tamil Nadu, Tel.: (4563) 327520 • <b>Ratlam:</b> Dafria &amp; Co 18, Ram Bagh Near</p>	<p>Scholar's School Ratlam Madhya Pradesh 457001 camslm@camsonline.com 07412 324817 • <b>Ratnagiri:</b> Kohinoor Complex, Near Natya Theatre, Nachane Road, Ratnagiri-415 639, Maharashtra, Tel.: (2352) 322950 • <b>Roorkee:</b> 22, Civil Lines, Ground Floor, Hotel Krish Residency, Roorkee-247667, Uttarakhand, Tel.: (1332) 312386 • <b>Sagar:</b> Opp. Somani Automobiles, Bhagwanganj Sagar-470002, Madhya Pradesh, Tel.: (7582) 326894 • <b>Shahjahanpur:</b> Bijlipura, Near Old Dist. Hospital, Shahjahanpur-242001, Uttar Pradesh, Tel.: (5842) 327901 • <b>Sirsa:</b> Bansal Cinema Market, Beside Overbridge, Next to Nissan Car Showroom, Hissar Road, Sirsa - 125055, Haryana. Tel.: (1666) 327248 • <b>Sitapur:</b> Arya Nagar, Near Arya Kanya School, Sitapur-261001, Uttar Pradesh, Tel.: (5862) 324356 • <b>Solan:</b> 1st Floor, Above Sharma General Store, Near Sanki Rest house, The Mall, Solan-173 212, Himachal Pradesh, Tel.: (1792) 321075 • <b>Srikakulam:</b> Door No. 4-4-96, First Floor, Vijaya Ganapathi Temple Back Side, Nanubala Street, Srikakulam-532001, Tel.: (08942) 650110 • <b>Sultanpur:</b> 967, Civil Lines, Near Pant Stadium, Sultanpur-228001, Uttar Pradesh, Tel.: 9389403149 • <b>Surendranagar:</b> 2 M I Park, Near Commerce College, Wadhwan City Surendranagar-363035, Gujarat, Tel.: (2752) 320233 • <b>Tinsukia:</b> Dhawal Complex, Ground Floor, Durgabari Rangagora Road, Near Dena Bank, Tinsukia-786125, Assam,</p>	<p>Tel.: (374) 2336742 • <b>Tuticorin:</b> 4B / A-16, Mangal Mall, Complex Ground Floor, Mani Nagar, Tuticorin-628003, Tamil Nadu, Tel.: (461) 3209960 • <b>Ujjain:</b> 123, 1st Floor, Siddhi Vinayaka Trade Centre, Saheed Park, Ujjain-456010, Madhya Pradesh, Tel.: (734) 3206291 • <b>Yavatmal:</b> Pushpam, Tilakwadi, Opp. Dr. Shrotri Hospital, Yavatmal-445001, Maharashtra, Tel.: (7232) 322780</p> <p><i>In addition to the existing Official Point of Acceptance of transactions, Computer Age Management Services Pvt. Ltd. (CAMS), the Registrar and Transfer Agent of ICICI Prudential Mutual Fund, having its office at New No 10, Old No. 178, Opp. to Hotel Palm Grove, MGR Salai (K.H.Road), Chennai - 600 034 shall be an official point of acceptance for electronic transactions received from the Channel Partners with whom ICICI Prudential Asset Management Company Limited has entered or may enter into specific arrangements for all financial transactions relating to the units of mutual fund schemes. Additionally, the secure Internet sites operated by CAMS will also be official point of acceptance only for the limited purpose of all channel partners transactions based on agreements entered into between IPMF and such authorized entities.</i></p>
---	--	---





## ICICI Prudential Fixed Maturity Plan - Series 72 - 1092 Days Plan F

Application No. \_\_\_\_\_

**New Fund Offer Opens on January 16, 2014**  
**New Fund Offer Closes on January 28, 2014**

Application Form for Resident Indians and NRIs/PIOs. Investor must read Key Information Memorandum and Instructions before completing this form. All sections to be completed in ENGLISH in BLACK / BLUE COLOURED INK and in BLOCK LETTERS.

<p><b>This Product is suitable for investors who are seeking*:</b></p> <ul style="list-style-type: none"> <li>Medium term savings solution</li> <li>A Debt Fund that seeks to generate income by investing in a portfolio of fixed income securities/debt instruments maturing on or before the maturity of the Scheme.</li> </ul> <p>*Investors should consult their financial advisers if in doubt about whether the product is suitable for them</p>		<p>Note: Risk may be represented as:</p> <div style="display: flex; justify-content: space-around;"> <div style="text-align: center;"> <span style="background-color: yellow; border: 1px solid black; padding: 2px;">(YELLOW)</span> Investors understand that their principal will be at medium risk         </div> <div style="text-align: center;"> <span style="background-color: blue; border: 1px solid black; padding: 2px;">(BLUE)</span> Investors understand that their principal will be at low risk         </div> <div style="text-align: center;"> <span style="background-color: brown; border: 1px solid black; padding: 2px;">(BROWN)</span> Investors understand that their principal will be at high risk         </div> </div>
<p><b>LOW RISK (BLUE)</b></p>		

BROKER CODE (ARN CODE) <b>0032</b>	SUB-BROKER ARN CODE	Employee Unique Identification No. (EUIN)	SUB-BROKER CODE (As allotted by ARN holder)	<b>FOR OFFICIAL USE ONLY</b> SERIAL NUMBER, DATE & TIME OF RECEIPT
---------------------------------------	---------------------	---	---	---

Declaration for "execution-only" transaction (only where EUIN box is left blank) (Refer Instruction No. XIV).  
 I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

SIGNATURE OF SOLE / FIRST APPLICANT	SIGNATURE OF SECOND APPLICANT	SIGNATURE OF THIRD APPLICANT
-------------------------------------	-------------------------------	------------------------------

**TRANSACTION CHARGES FOR APPLICANTS THROUGH DISTRIBUTORS ONLY** [Refer Instruction XIII and please tick (✓) any one]

I confirm that I am a **First time** investor across Mutual Funds. (Rs. 150 deductible as Transaction Charge and payable to the Distributor)  
 I confirm that I am an **existing** investor in Mutual Funds. (Rs. 100 deductible as Transaction Charge and payable to the Distributor)

In case the purchase / subscription amount is Rs. 10,000 or more and your Distributor has opted to receive Transaction Charges, the same are deductible as applicable from the purchase/subscription amount and payable to the Distributor. Units will be issued against the balance amount invested.  
 Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

**1 FOR EXISTING UNITHOLDERS** [Refer to Instruction II] Folio No. \_\_\_\_\_ / \_\_\_\_\_

If you have an existing folio with PAN validation & KYC validation (if applicable), please mention the folio number in the space provided and proceed to Step 4.

**2 ABOUT YOU**

Name of Sole/First Applicant \_\_\_\_\_ Date: \_\_\_\_\_  
 Date of Birth (Mandatory) \_\_\_\_\_

Please refer to **instruction no. II-b(7)** for the list of documents to be submitted along with the application in case the first applicant is minor.  
 Name of Parent or Legal Guardian (in case of minor) / Contact Person (in case of non-individual investors) \_\_\_\_\_

Relationship with the Minor Applicant:  Father  Mother  Legal Guardian (For documents to be submitted, refer **instruction no. II (b)**).

Mailing Address (Please provide full address) (Mandatory)

City (Mandatory)	State (Mandatory)	Country	PIN (Mandatory)
------------------	-------------------	---------	-----------------

Tax Status [Please tick (✓)]

Minor  Trust  
 NRI/PIO  Bank/FI  
 Resident Individual  AOP/BoI  
 HUF  Club/Society  
 Sole Proprietorship  Company  
 Partnership Firm  FII  
 Others (Please specify)

Contact Details

Tel. (Res.) \_\_\_\_\_ Tel. (Off.) \_\_\_\_\_ Mobile \_\_\_\_\_  
 E-Mail \_\_\_\_\_

**Communication:** Account Statement/Annual Report/other statutory information will be sent by email to the above mentioned email ID.  
 Please tick (✓) if you wish to receive Account Statement/Annual Report/other statutory information via physical documents instead of email.

Overseas Address (in case of NRIs/FIIs)

City	State	Country (Mandatory)	ZIP/PIN (Mandatory)
------	-------	---------------------	---------------------

Name of Second Applicant \_\_\_\_\_  
 Mr. Ms. \_\_\_\_\_

Name of Third Applicant \_\_\_\_\_  
 Mr. Ms. \_\_\_\_\_

APPLICANT DETAILS		PAN (Please attach proof)	Know Your Customer (KYC) (Please ✓)	Mode of holding [Please tick (✓)]	Occupation [Please tick (✓)]
Sole/First Applicant			<input type="checkbox"/> KYC acknowledgement /Copy enclosed	<input type="checkbox"/> Single	<input type="checkbox"/> Professional <input type="checkbox"/> Housewife
Guardian (in case 1st applicant is minor)			<input type="checkbox"/> KYC acknowledgement /Copy enclosed	<input type="checkbox"/> Anyone or Survivor	<input type="checkbox"/> Business <input type="checkbox"/> Service
2nd Applicant			<input type="checkbox"/> KYC acknowledgement /Copy enclosed	<input type="checkbox"/> Joint	<input type="checkbox"/> Retired <input type="checkbox"/> Student
3rd Applicant			<input type="checkbox"/> KYC acknowledgement /Copy enclosed	(Default option: Anyone or Survivor)	<input type="checkbox"/> Others (Please specify)



Folio No. \_\_\_\_\_ **ICICI PRUDENTIAL FIXED MATURITY PLAN - SERIES 72 - 1092 DAYS PLAN F ACKNOWLEDGEMENT SLIP (To be filled in by the Investor)** Application No. \_\_\_\_\_

Received from (Name & Address): \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

PLAN (Please Tick ✓):  Regular  Direct OPTION (Please Tick ✓):  Cumulative  Dividend Payout

Signature, Stamp & Date \_\_\_\_\_

**3 BANK ACCOUNT DETAILS OF FIRST APPLICANT** (Please Refer to Instruction No. III) Mandatory information – If left blank the application is liable to be rejected.

Please note for unit holder opting to invest in demat, please ensure that the bank account linked with the demat account is mentioned here.

MANDATORY Account Type  Current  Savings  NRO  NRE  FCNR Account Number \_\_\_\_\_  
Name of Bank \_\_\_\_\_  
Branch Name \_\_\_\_\_ Branch City \_\_\_\_\_  
9 Digit MICR code \_\_\_\_\_ 11 Digit IFSC Code \_\_\_\_\_

**4 DEMAT ACCOUNT DETAILS OF FIRST APPLICANT** (Please refer instruction No. XI)  NSDL OR  CDSL (Please ✓)

Do you want units in demat form :  Yes OR  No (Please ✓) The application form should mandatorily accompany the latest Client investor master/ Demat account statement.

If yes, Depository Participant (DP) ID (NSDL only) \_\_\_\_\_ Beneficiary Account Number (NSDL only) \_\_\_\_\_ If yes, Depository Participant (DP) ID (CDSL only) \_\_\_\_\_

**5 YOUR INVESTMENT DETAILS UNDER ICICI PRUDENTIAL FIXED MATURITY PLAN - SERIES 72 - 1092 DAYS PLAN F**

ICICI PRUDENTIAL FIXED MATURITY PLAN - SERIES 72 - 1092 DAYS PLAN F  
PLAN (Please tick ✓)  Regular  Direct  
OPTION (Please tick ✓)  Cumulative  Dividend Payout  
Default Option & Sub-option: Cumulative will be the default option. Hence, If an investor fails to specify the option or has selected multiple options, he will be allotted units under the default option/sub-option.

**Trigger on maturity of Plans under the Scheme:**

Investor will have the option to set trigger at the time of application. On maturity, all the units can be switched into one of the pre-selected open-ended schemes of ICICI Prudential Mutual Fund. The trigger facility is available only for the investor who holds units under physical mode.

Source Scheme: ICICI Prudential Fixed Maturity Plan - Series 72 - 1092 Days Plan F Target Scheme (Please select any of the open-ended schemes of ICICI Prudential Mutual Fund) ICICI Prudential  
(If an investor fails to specify the option, he will be allotted units under the default option/sub-option of the Target scheme.)

Amount Invested \_\_\_\_\_ Rs. \_\_\_\_\_ Cheque/DD No. \_\_\_\_\_ Cheque/DD Date \_\_\_\_\_ Account Type (For NRI Investors)  NRO  NRE  FCNR  
Bank Name & Branch \_\_\_\_\_  
City \_\_\_\_\_  
The cheque/demand draft should be drawn in favour of "ICICI Prudential Fixed Maturity Plan - Series 72 - 1092 Days Plan F" and crossed "Account Payee Only". The cheque/demand draft should be payable at the centre where the application is lodged. For third party investment, refer instruction no. IX.

**6 NOMINATION DETAILS** (Refer instruction VI)

I/We hereby nominate the undermentioned nominee to receive the amount to my/our credit in event of my/our death.

Nominee \_\_\_\_\_ NAME OF NOMINEE \_\_\_\_\_ Date of Birth \_\_\_\_\_ (Mandatory if nominee is minor)  
Guardian \_\_\_\_\_ MANDATORY, IF NOMINEE IS A MINOR  
Relationship with the Nominee:  Father  Mother  Legal Guardian (Please tick ✓)  
Nominee's Address \_\_\_\_\_ HOUSE / FLAT NO \_\_\_\_\_ STREET ADDRESS \_\_\_\_\_  
(Mandatory) \_\_\_\_\_ CITY / TOWN \_\_\_\_\_ PIN CODE \_\_\_\_\_ SIGNATURE OF NOMINEE / GUARDIAN, IF NOMINEE IS A MINOR \_\_\_\_\_

**7 Investor(s) Declaration & Signature(s)**

The Trustee, ICICI Prudential Mutual Fund  
I/We have read and understood the SID/Key Information Memorandum of ICICI Prudential Fixed Maturity Plan - Series 72 - 1092 Days Plan F. I/We apply for the units of the ICICI Prudential Fixed Maturity Plan - Series 72 - 1092 Days Plan F and I/we agree to abide by the terms, conditions, rules and regulations of the scheme. I/We confirm to have understood the terms & conditions. Its investment objectives, investment pattern, fundamental objectives and risk factors applicable to the Plan under the Scheme. I/We agree to abide by the terms, conditions, rules and regulations of the Scheme. I/We have understood the details of the scheme and I/we have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We agree to abide by the terms, conditions, rules, regulations and other statutory requirements of SEBI, AMFI, Prevention of Money Laundering Act, 2002 and such other regulations as may be applicable from time to time. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. I/We agree that in case my/our investment in the Scheme is equal to or more than 25% of the corpus of the plan, then ICICI Prudential Asset Management Co. Ltd. (the 'AMC') has full right to refund the excess to me/us to bring my/our investment below 25%. I/We hereby confirm that I/we have not been offered/communicated any indicative portfolio and/or any indicative yield for this investment. I/We hereby declare that I am/we are not US Person(s). The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We interested in receiving promotional material from the AMC via mail, SMS, telecall, etc. If you do not wish to receive, please call on tollfree no. 1800 222 999 (MTNL/BSNL) or 1800 200 6666 (Others).

**REGISTRAR**

Computer Age Management Services Pvt. Ltd.

New No 10. Old No. 178, Opp. to Hotel Palm Grove, MGR Salai (K.H. Road), Chennai - 600 034.

**ICICI Prudential Fixed Maturity Plan - Series 72 - 1092 Days Plan F – ACKNOWLEDGEMENT SLIP** (To be filled in by the Investor)

Investment Plan \_\_\_\_\_ Cheque/DD No. \_\_\_\_\_ Dated \_\_\_\_\_ Amount (Rs.) \_\_\_\_\_ Drawn on (Name of Bank & Branch) \_\_\_\_\_

## INSTRUCTIONS TO INVESTORS

### I. GENERAL INSTRUCTIONS

- a) The application form is for Resident Investors/NRIs/FIIs and should be completed in English in BLOCK Letters.
- b) The application form number, the scheme name and the name of the applicant should be mentioned on the reverse of the instrument that accompanies the application.
- c) The Application completed in all respects along with the cheque / demand draft must be submitted to the nearest Customer Service Centre. Applications incomplete in any respect or not accompanied by a cheque or demand draft for the amount payable are liable to be rejected and the money paid, if any, will be refunded without interest.
- d) No receipt will be issued for the application money. The Customer Service Centers will stamp and return the acknowledgement slip in the application form, to acknowledge receipt of the application.

### II. UNITHOLDERS INFORMATION

#### a) Existing Unitholders

Investors already having an account in any of the ICICI Prudential Schemes should provide their Folio Number. The names of the applicants, mode of holding etc. will be as per the folio number provided. Existing unitholders should mention the Folio Number and directly proceed to Step 4 after providing the PAN details, which are mandatory.

#### b) New Applicant

1. Name and address must be given in full (P.O. Box Address is not sufficient). In case of NRI/PIO/FII investors, an overseas address must be provided.
2. Name of guardian must be mentioned if the investments are being made on behalf of a minor. Date of Birth is mandatory in case of minor.  
**Investments of the existing minor investor on minor attaining majority:** Upon attaining majority, a minor has to write to the fund, giving his specimen signature duly authenticated by his banker as well his new bank mandate, PAN details, in order to facilitate the Fund to update its records and permit the erstwhile minor to operate the account in his own right.
3. Guardian in the folio(s) on behalf of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian.
4. The information on the relationship/status of the guardian as father, mother or legal guardian will be mandatory.
5. In case of natural guardian, the documents evidencing the relationship will have to be submitted (if the same is not available as part of the documents submitted for proof of DOB).
6. In case of court appointed legal guardian - a notarised photo copy of the court order should be submitted along with the application.
7. Date of birth of the minor along with photocopies of supporting documents as enumerated below shall be mandatory while opening the account on behalf of minor:
  - i) Birth certificate of the minor, or
  - ii) School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc., or
  - iii) Passport of the minor, or
  - iv) Any other suitable proof evidencing the date of birth of the minor.
8. In case of an application under Power of Attorney or by a limited company, body corporate, registered society, trust or partnership, etc. the relevant Power of Attorney or the relevant resolution or authority to make the application as the case may be, or duly certified copy thereof, along with the Memorandum and Articles of Association/ bye-laws must be lodged along with the application form.  
**Power of Attorney (POA):** In case an investor has issued Power of Attorney (POA) for making investments, switches, redemptions etc. under his folio, both the signature of the investor and the POA holder have to be clearly captured in the POA document to be accepted as a valid document. At the time of making redemption / switches the fund would not be in a position to process the transaction unless, POA holder's signature is available in the POA or proof of identity along with signature is produced along with the POA.
9. **PAN is mandatory:** As per SEBI Circular MRD/Dop/Cir/-05/2007 dated May 10, 2007 Permanent Account Number (PAN) has been made the sole identification number for all participants transacting in the securities market, irrespective of the amount of transaction, w.e.f. September 06, 2007. PAN is mandatory for all mutual fund investments w.e.f. 1st January, 2008. Accordingly, any application not accompanied with the PAN is liable to be rejected.
10. Applicants should indicate their status by ticking the appropriate box. Applications without a tick in the 'Status' box will be considered as investment by "Others". Those who select the status as "Others", they should specify their status in the space provided."
11. Applicants should specify the mode of holding. In case it is not mentioned, the default will be "anyone or survivor". In the case of joint holders, the first named holder shall receive all the Account Statements, dividend/redemption/refund warrants and any other correspondence sent from time to time.

### III. BANK DETAILS

The first Unit-holder should provide the name of the bank, branch, complete address of the branch, account type and account number, which is mandatory as per Securities Exchange Board of India circular I/AMRP/MF/CIR/07/826/98 dated April 15, 1998. Applications without this information will be deemed to be incomplete. Please quote 9 Digit MICR Code No. of your Bank and Branch corresponding to Bank Account details. (This number appears on every leaf of your cheque book after your cheque number). Please attach a blank "cancelled" Cheque or a clear photocopy of a cheque issued by your bank verifying of the Code Number. The AMC reserves the right to make dividend/redemption payments through ECS/NFT/RTGS where details are available.

Please note for unit holder opting to invest in demat, please ensure that the bank account linked with the demat account is mentioned in the application form.

Maturity payment or dividend payment would be made as per the bank account details available in BENPOS file.

**Change of Bank Mandate:** With effect from October 25, 2011, the request for change of bank (COB) shall be submitted along with the original cancelled cheque of the new bank with the investor name mentioned on the cheque or copy of the bank statement/pass book duly attested by the new Bank, evidencing the name and bank account details of the investor.

Following documents shall be submitted in respect of mandated bank account as mentioned in the application form. (AMFI Best Practice Guidelines Circulars dated August 23, 2013 and October 22, 2010 will be effective from November 1, 2013.)

1. Original cancelled cheque having the First Holder Name printed on the cheque.
2. Original bank statement reflecting the First Holder Name, Bank Account Number and Bank Name as specified in the application.
3. Photocopy of the bank statement duly attested by the bank manager with designation, employee number and bank seal.
4. Photocopy of the bank pass book duly attested by the bank manager with designation, employee number and bank seal.
5. Photocopy of the bank statement/passbook/cheque duly attested by the AMC branch officials after verification of original bank statement/passbook shown by the investor or their representative.

6. Confirmation by the bank manager with seal, designation and employee number on the bank's letter head confirming the investor details and bank mandate information

### IV. INVESTMENT DETAILS

**Minimum Application Amount:** Rs. 5,000/- and in multiples of Rs. 10 thereafter.

**Introduction of Direct Plan:** The AMC has introduced a separate plan for direct investments (i.e. investments not routed through an AMFI Registration Number (ARN) Holder ("Distributor") (hereinafter referred to as "Direct Plan") with effect from January 1, 2013 ("Effective Date").

Direct Plan is only for investors who purchase/subscribe Units in a Scheme directly with the Fund and is not available for investors who route their investments through a Distributor.

Investors subscribing under Direct Plan of the Schemes should indicate the Scheme/Plan name in the application form as "Scheme Name – Direct Plan" for e.g. "ICICI Prudential Fixed Maturity Plan - Series 72 - 1092 Days Plan F – Direct Plan". Investors should also indicate "Direct" in the ARN column of the application form. However, in case Distributor code is mentioned in the application form, but "Direct Plan" is indicated against the Scheme name, the Distributor code will be ignored and the application will be processed under Direct Plan.

Please note, where application is received for Regular Plan without Distributor code or "Direct" mentioned in the ARN Column, the application will be processed under Direct Plan.

#### OPTIONS:

Plans	Direct Plan and Regular Plan
<b>Default Plan (if no plan selected)</b>	a) If broker code is not mentioned the default plan is Direct Plan b) If broker code is mentioned the default plan is Regular Plan
<b>Default Plan (if certain circumstances)</b>	a) If Direct Plan is opted, but ARN code is also stated, then application would be processed under Direct Plan b) If Regular Plan is opted, but ARN code is not stated, then the application would be processed under Direct Plan
<b>Options/sub-options</b>	Cumulative Option and Dividend Option with only Dividend Payout sub-option
<b>Default Option</b>	Cumulative Option

All the Plans and Options stated above will have a common portfolio. Direct Plan is only for investors who purchase/ subscribe Units in Scheme directly with the Fund. Half yearly dividend frequency will be available under the Scheme, subject to availability of distributable surplus. Dividend Payout is the only option available under Dividend option.

The Trustee reserves the right to declare dividends under the Scheme depending on the net distributable surplus available under the Scheme. It should, however, be noted that actual distribution of dividends and the frequency of distribution will depend, inter-alia, on the availability of distributable surplus and will be entirely at the discretion of the Trustee.

#### V. MODE OF PAYMENT

- a) The cheque should be drawn in favour of "ICICI Prudential Fixed Maturity Plan - Series 72 - 1092 Days Plan F" and crossed "Account Payee Only". The cheque/demand draft should be payable at the centre where the application is lodged. The cheque/demand draft should be drawn on any bank which is situated at and is a member/sub-member of the Bankers' Clearing House. Cheques/demand drafts drawn on a bank not participating in the Clearing House will not be accepted.
- b) Separate Cheque/Draft is required for each plan/option invested.
- c) **Outstation Cheques/Demand Drafts will not be accepted.**

MICR cheques will be accepted till the end of business hours upto January 24, 2014. Transfer cheques and Real Time Gross Settlement (RTGS) requests will be accepted till the end of business hours upto January 28, 2014. Switch-in request from equity schemes will be accepted upto January 24, 2014 and from non-equity schemes upto January 28, 2014, till the cut-off time applicable for switches.

**Switch-in request from ICICI Prudential US Bluechip Equity Fund and ICICI Prudential Global Stable Equity Fund will not be accepted.**

- d) **Payments by Stockinvest, Money Order, Cash, Postal Order and Post-dated Cheques will not be accepted.**

The Trustee shall have absolute discretion to accept/reject any application for purchase of Units, if in the opinion of the Trustee, increasing the size of Scheme's Unit capital is not in the general interest of the Unitholders, or the Trustee for any other reason believes it would be in the best interest of the Schemes or its Unitholders to accept/reject such an application.

- e) An investor can also subscribe to the New Fund Offer (NFO) through ASBA facility.

#### f) NRI/FII/PIO Investors

1. **Repatriation basis:** Payments by NRIs/FIIs/Persons of Indian Origin residing abroad, may be made either by way of Indian Rupee drafts or cheques by means of (i) inward remittance through normal banking channels; or (ii) out of funds held in NRE/FCNR account payable at par and payable at the cities where the Customer Service Centres are located. In case of Indian Rupee drafts purchased through NRE/FCNR Account, an account debit certificate from the bank issuing the draft confirming the debit should also be enclosed. In case the debit certificate is not provided, the AMC reserves the right to reject the application of the NRI investors.
2. **Non Repatriation basis:** NRIs or Persons of Indian origin residing abroad investing on a non-repatriable basis may do so by issuing cheques/demand drafts drawn on Non-Resident Ordinary (NRO) account payable at the cities where the Customer Service Centres are located.
3. **FIRC certificate:** In case of investments by Non Resident Indians (NRIs), if FIRC certificate was not submitted, CAMS/AMC will not provide FIRC outward letters to banks.
4. **Payment of Maturity Proceeds:** NRIs shall provide details of purchase including contract notes on or before the maturity date. In the absence of such details on or before the maturity date, TDS towards capital gain would be done at higher applicable rate. For this purpose, the difference between allotment NAV and NAV applicable for Maturity payment is considered as capital gains. The plan under the scheme shall be fully redeemed at the end of the maturity period of the respective Plan unless rolled over as per SEBI guidelines.

#### VI. NOMINATION

You may nominate persons to receive the Units/amounts standing to your credit payable in the event of death of the Unit Holder(s) in respect of investment under a folio. Investors are requested to note that with effect from April 22, 2013, if the "Nomination" details in the application form are not provided then by default it shall be treated as the consent provided by the Investor to not register any nomination in the folio and the transaction shall be processed accordingly.

- a) Filling the nomination details with full address is mandatory for individuals applying for / holding units on their own behalf singly and optional for joint holding. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. All joint holders will sign the nomination form. Nomination is not allowed in case the first applicant is a minor. Nomination form cannot be signed by Power of Attorney (PoA) holders.

- b) Nomination will be registered where nomination is made by a sole proprietorship as the proprietor is providing his/ her personal pan card for KYC and all the details are of the individual itself.
- c) All payments and settlements made to such nominee and signature of the Nominee acknowledging receipt thereof, shall be a valid discharge by the AMC/Mutual Fund/Trustees.
- d) A minor can be nominated and in that event, the name, relationship and address of the guardian of the minor nominee shall be provided by the unit holder. Guardian of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- e) The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange controls in force, from time to time.
- f) Nomination in respect of the units stands withdrawn upon the transfer of units.
- g) Every new nomination for a folio/account will overwrite the existing nomination.
- h) Transfer of units in favour of a Nominee shall be valid discharge by the Asset Management Company (AMC) against the legal heir.
- i) The cancellation of nomination can be made only by those individuals who hold units on their own behalf, singly or jointly and who made the original nomination and the request has to be signed by all the holders.
- j) On cancellation of the nomination, the nomination shall stand withdrawn and the AMC shall not be under any obligation to transfer the units in favour of the Nominee.
- k) Investors who want to make multiple nominations (Maximum 3) need to fill the separate Multiple Nomination Form available on www.icicpruamc.com and submit it to the AMC.
- l) Investors are requested to note that, if the "Nomination" details in the application form are not provided then by default it shall be treated as the consent provided by the Investor to not register any nomination in the folio and the transaction shall be processed accordingly.

**VII. DIRECT CREDIT OF DIVIDEND/REDEMPTION:** ICICI Prudential AMC had entered into an arrangement with certain banks; such as Axis Bank, Citibank N.A., HDFC Bank, HSBC and ICICI Bank, for direct credit of redemption and dividend proceeds if the investors have a bank mandate any of the specified banks. However, the Fund reserves the right to issue a payment instrument in place of this direct credit facility, and will not be responsible for any delay on the part of the bank for executing the direct credit. The AMC may alter the list of the banks participating in direct credit arrangement from time to time / withdraw direct credit facility from the banks, based on its experience of dealing with any of these banks or add / withdraw the name of the bank with which the direct credit facility arrangements can be introduced/ discontinued, as the case may be.

**VIII. E-MAIL COMMUNICATION:** Delivering service through the internet & web-based services such as e-mail is a more efficient delivery channel. When an investor has communicated his / her e-mail address and provided consent for sending communication only through email, the Mutual Fund / Registrars are not responsible for email not reaching the investor and for all consequences thereof. The Investor shall from time to time intimate the Mutual Fund / its transfer agents about any changes in the email address. In case of a large document, a suitable link would be provided and investor can download, save and print these documents. However, the investor always has a right to demand a physical copy of any or all the service deliverables, and the Fund would arrange to send the same to the investor. Account statements will be sent via email, by default, to investors who have provided their email ID, unless specified otherwise. It is deemed that the Unit holder is aware of all the security risks associated with online communication, including the possibility of third party interception of the documents sent via email.

**IX. THIRD PARTY PAYMENTS :** Investment/subscription made through Third Party Cheque(s) will not be accepted. Third party cheque(s) for this purpose are defined as:

- (i) Investment made through instruments issued from an account other than that of the beneficiary investor,
  - (ii) in case the investment is made from a joint bank account, the first holder of the mutual fund folio is not one of the joint holders of the bank account from which payment is made.
- Third party cheque(s) for investment/subscription shall be accepted, only in exceptional circumstances, as detailed below:
- 1) Payment by Parents/Grand-Parents/related persons on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding Rs.50,000/- (each regular purchase or per SIP installment). However this restriction will not be applicable for payment made by a guardian whose name is registered in the records of Mutual Fund in that folio.
  - 2) Payment by Employer on behalf of employee under Systematic Investment Plans through Payroll deductions.
  - 3) Custodian on behalf of a Foreign Institutional Investor (FII) or a client.

The above mentioned exception cases will be processed after carrying out necessary checks and verification of documents attached along with the purchase transaction slip/application form, as stated below:

- (1) Determining the identity of the Investor and the person making payment i.e. mandatory Know Your Client (KYC) for Investor and the person making the payment.
  - (2) Obtaining necessary declaration from the Investor/unit holder and the person making the payment. Declaration by the person making the payment should give details of the bank account from which the payment is made and the relationship with the beneficiary.
  - (3) Verifying the source of funds to ensure that funds have come from the drawer's account only.
- In case of investment/subscriptions made via Pay Order, Demand Draft, Banker's cheque, RTGS, NEFT, ECS, bank transfer, net banking etc. Following additional checks shall be carried out:
- (1) If the investment/subscription is settled with pre-funded instruments such as Pay Order, Demand Draft, Banker's cheque, etc., a Certificate from the Issuing banker must accompany the purchase application, stating the Account holder's name and the Account number which has been debited for issue of the instrument. The funds should be debited from a pre-registered pay in account available in the records of the Mutual fund, or from the account of the first named unit holder. Additionally, if a pre-funded instrument issued by the Bank against cash, it shall not be accepted for investments of Rs.50,000/- or more. Such pre-funded instrument issued against cash payment of less than Rs.50,000/- should be accompanied by a certificate from the banking giving name, address and PAN (if available) of the person who has requested for the demand draft.
  - (2) If payment is made by RTGS, NEFT, ECS, bank transfer, etc., a copy of the instruction to the bank stating the account number debited must accompany the purchase application. The account number mentioned on the transfer instruction should be from pay in account available in the records, or from the account of the first named unit holder.

Investors are requested to note that AMC reserves right to have additional checks of verification for any mode of payment received. AMC reserves the right to reject the transaction in case the payment is received in an account not belonging to the first unit holder of the mutual fund.

In case of investors with multiple accounts, in order to ensure smooth processing of investor transactions, it is advisable to register all such accounts, as the investments/subscriptions received from the said multiple accounts shall be treated as 1st party payments.

Refer Third Party Payment Declaration form available in www.icicpruamc.com or ICICI Prudential Mutual Fund branch offices.

**X. KNOW YOUR CUSTOMER (KYC) NORMS:** With effect from 1st January, 2011, KYC (Know Your Customer) norms are mandatory for ALL investors for making investments in Mutual Funds, irrespective of the amount of investment. Further, to bring uniformity in KYC process, SEBI has introduced a common KYC application form for all the SEBI registered intermediaries. With effect from 1st January 2012, all the new investors are therefore requested to use the Common KYC application form to apply for KYC and mandatorily undergo In Person Verification (IPV) requirements with SEBI registered intermediaries. For Common KYC Application Form please visit our website www.icicpruamc.com.

#### XI. DEMAT/NON-DEMAT MODE:

1) Investors can hold units in Dematerialized (Demat)/Non-Demat mode. In case the investor has not provided his / her Demat account details or the details of DP ID / BO ID provided by the investor is incorrect, or Demat account is not activated or not in an active status, the units would be allotted in Non-Demat mode. 2) Allotment letters would be sent to investors who are allotted units in Demat mode and a Statement of Accounts would be sent to investors who are allotted units in non-Demat mode. 3) Investors are requested to note that Units held in dematerialized form are freely transferable except units held in Equity Linked Savings Scheme's (ELSS) during the lock-in period. 4) The units will be allotted based on the applicable NAV as per the SID and will be credited to investor's Demat account on weekly basis upon realization of funds. For e.g. Units will be credited to investor's Demat account every Monday for realization status received in last week from Monday to Friday.

#### Option to hold Units in dematerialized (demat) form

Investors have the option to hold the units in demat form. Please tick the relevant option of Yes/No for opting/not opting units in demat form. If no option is exercised, "No" will be the default option. Applicants must ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant. If the details mentioned in the application are incomplete/incorrect or not matched with the Depository data, the application shall be treated as invalid and the units would be allotted in Non-Demat mode. The application form should mandatorily accompany the latest Client investor master/ Demat account statement. Demat option will be not be available for Daily/Weekly/Fortnightly dividend options.

The investors shall note that for holding the units in demat form, the provisions laid in the Scheme Information Document (SID) of respective Scheme and guidelines/procedural requirements as laid by the Depositories (NSDL/CDSL) shall be applicable.

In case the unit holder wishes to convert the units held in non-demat mode to demat mode or vice versa at a later date, such request along with the necessary form should be submitted to their Depository Participant(s).

Units held in demat form will be freely transferable, subject to the applicable regulations and the guidelines as may be amended from time to time.

**XII. PLEDGE/LIEN :** In case investor creates pledge / lien on their units in favour of any financial institution or lender, the details of the same shall be provided to ICICI Prudential AMC or CAMS within two days of creations of such pledge/lien. The redemption proceeds/dividend payment will be on hold till proper details are made available.

#### XIII. TRANSACTION CHARGES

Pursuant to SEBI Circular No. Cir/IMD/DF/13/2011 dated August 22, 2011 the transaction charge per subscription of Rs.10,000/- and above may be charged in the following manner, in addition to trail fees charged by the AMC and upfront commission, if any:

- i. The existing investors of the mutual fund industry may be charged Rs.100/- as transaction charge per subscription of Rs.10,000/- and above;
- ii. A first time investor in any mutual fund may be charged Rs.150/- as transaction charge per subscription of Rs.10,000/- and above.

There shall be no transaction charge on subscription below Rs. 10,000/- and on transactions other than purchases/subscriptions relating to new inflows.

However, the option to charge "transaction charges" is at the discretion of the distributors.

In case of investment through Systematic Investment Plan (SIP), transaction charges shall be deducted only if the total commitment through SIP amounts to Rs. 10,000/- and above. The transaction charges in such cases shall be deducted in 4 equal installments.

The aforesaid transaction charge shall be deducted by the Asset Management Company from the subscription amount and paid to the distributor, as the case may be and the balance amount shall be invested subject to deduction of service tax. Unit holder's statement of account will reflect subscription amount, transaction charges and net investments.

Transaction Charges shall not be deducted if:

- Purchase/Subscription made directly with the fund through any mode (i.e. not through any distributor/agent).
- Purchase/Subscription made through stock Exchange, irrespective of investment amount.

**XIV. EMPLOYEE UNIQUE IDENTIFICATION NUMBER (EUIIN) :** Investors procuring advisory services from non individual distributors are requested to note that EUIIN would assist in tackling the problem of mis-selling even if the employee/relationship manager/sales person leave the employment of the distributor. Distributors are advised to ensure that the sub broker affixes his/her ARN code in the column separately provided in addition to the current practice of affixing the internal code issued by the main ARN holder and the EUIIN of the Sales Person (if any) in the EUIIN space.

Investors are requested to note that EUIIN is applicable for transactions such as Purchases, Switches, Registrations of SIP/STP/Trigger/Dividend Transfer Plan and EUIIN is not applicable for transactions such as Installments under SIP/STP/SWP/EBT Triggers, Dividend Reinvestments, Bonus Units, Redemption, SWP Registration, Zero Balance Folio creation and installments under Dividend Transfer Plans.

Investors are requested to note that EUIIN is largely applicable to sales persons of non individual ARN holders (whether acting in the capacity of the main distributor or sub broker). Further, EUIIN will not be applicable for overseas distributors who comply with the requirements as per AMFI circular CIR/ARN-14/12-13 dated July 13, 2012.

**XV. SIGNATURES :** The signature(s) should be in English or in any of the Indian languages specified in the eighth schedule of the constitution of India. Applications on behalf of minors should be signed by their Guardian. Thumb impressions must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal. Applications by minors should be signed by their guardians. In case of a Hindu Undivided Family (HUF), the Karta should sign on behalf of the HUF.

If the application form is signed by a Power of Attorney (PoA) holder, the form should be accompanied by a notarised photocopy of the PoA. Alternatively, the original PoA may be submitted, which will be returned after verification. If the PoA is not submitted with the application, the Application Form will be rejected. The POA should contain the signature of the investor (POA Donor) and the POA holder.

In case of corporates or any non-individual investors, a list of authorised signatories should be submitted along with Application form or in case of any change in the authorised signatory list, the AMC / Registrar must be notified within 7 days.

In case of application under POA or by a Non-Individual (i.e. Company, trust, society, partnership firm etc.) the relevant POA or the resolution should specifically provide for/authorize the POA holder/ authorized signatory to make application/ invest moneys on behalf of the investor.

**Signature mismatch cases:** While processing the redemption / switch out request in case the AMC/ Registrar come across a signature mismatch, then the AMC/ Registrar reserves the right to process the redemption only on the basis of supporting documents (like copy of passport, driving license etc.), confirming the identity of the investors.



**ICICI Prudential Mutual Fund**

2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon (East), Mumbai 400 063. Tel: (91) (22) 26852000, Fax: (91)(22) 2686 8313.

New Fund Offer Opens on: January 16, 2014

New Fund Offer Closes on: January 28, 2014

Date: 

D	D	M	M	Y	Y	Y	Y

Please read the instructions carefully before investing.

**ICICI Prudential Fixed Maturity Plan - Series 72 - 1092 Days Plan F**

**APPLICATION SUPPORTED BY BLOCKED AMOUNT (ASBA) FORM for Demat Account Holders**

ASBA Application No. \_\_\_\_\_

BROKER/AGENT INFORMATION				FOR OFFICE USE ONLY			
Broker Name & AMFI Regn. No.	Sub-Broker Name & ARN Code	EUIN (Employee UIN)	Sub-broker (As allotted by ARN holder)	SCSB (Name & Code)	SCSB IFSC Code (11 digit code)	Syndicate Member Code (Name & Code)	S. No.

Declaration for "execution-only" transaction (only where EUIN box is left blank): I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

SIGNATURE OF SOLE / FIRST APPLICANT \_\_\_\_\_ SIGNATURE OF SECOND APPLICANT \_\_\_\_\_ SIGNATURE OF THIRD APPLICANT \_\_\_\_\_

Name of First Applicant (As in Demat account) Mr. / Ms. / M/s. \_\_\_\_\_

Existing Folio No. (Please fill in your folio no., if any) \_\_\_\_\_

**DEMAT ACCOUNT DETAILS OF FIRST APPLICANT (Mandatory - Refer Terms & Conditions No.10 on the reverse side of this form)**

Depository Name (Please tick any)  National Securities Depository Ltd. (NSDL)  Central Depository Services [India] Ltd. (CDSL)

Depository Participant Name \_\_\_\_\_

Depository Participant (DP) ID \_\_\_\_\_ Beneficiary Account No. \_\_\_\_\_

**PERMANENT ACCOUNT NUMBER (PAN) (Please attach proof) (Mandatory)**

1st Applicant \_\_\_\_\_ Guardian (in case 1st applicant is minor) \_\_\_\_\_

**INVESTMENT DETAILS (For scheme details, please read the Key Information Memorandum/Scheme Information Document of the Scheme)**

<b>ICICI PRUDENTIAL FIXED MATURITY PLAN - SERIES 72 - 1092 DAYS PLAN F</b>	<b>PLAN (Please tick <input checked="" type="checkbox"/>)</b> <input type="checkbox"/> Regular <input type="checkbox"/> Direct	<b>OPTION (Please tick <input checked="" type="checkbox"/>)</b> <input type="checkbox"/> Cumulative <input type="checkbox"/> Dividend Payout
	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	<input type="checkbox"/> Cumulative <input type="checkbox"/> Dividend Payout

**SCB/ASBA BANK ACCOUNT DETAILS OF FIRST APPLICANT FOR BLOCKING OF FUND (Refer Terms & Conditions No.7 on the reverse side of this form)**

Name of the Bank \_\_\_\_\_

Branch Address \_\_\_\_\_ City \_\_\_\_\_

Account Number \_\_\_\_\_ Account Type  Current  Savings  NRO  NRE

If "Mandatory Details" are not provided, your application is liable to be rejected.

Total Amount to be blocked [Rs. in figures] Rs. \_\_\_\_\_ Total Amount to be blocked [Rs. in words] \_\_\_\_\_

**UNDERTAKING BY ASBA INVESTOR**

1) I/We hereby undertake that I/We am/are an ASBA Investor as per the applicable provisions of the SEBI (Issue of Capital and Disclosure Requirements), Regulations 2009 ('SEBI Regulations') as amended from time to time. 2) In accordance with ASBA process provided in the SEBI Regulations and as disclosed in this application, I/We authorize (a) the SCSB to do all necessary acts including blocking of application money towards the Subscription of Units of the Scheme, to the extent mentioned above in the "SCSB / ASBA Account details" or unblocking of funds in the bank account maintained with the SCSB specified in this application form, transfer of funds to the Bank account of the Scheme/ICICI Prudential Mutual Fund on receipt of instructions from the Registrar and Transfer Agent after the allotment of the Units entitling me/us to receive Units on such transfer of funds, etc. 3) Registrar and Transfer Agent to issue instructions to the SCSB to remove the block on the funds in the bank account specified in the application, upon allotment of Units and to transfer the requisite money to the Scheme's account / Bank account of ICICI Prudential Mutual Fund. 4) In case the amount available in the bank account specified in the application is insufficient for blocking the amount equivalent to the application money towards the Subscription of Units, the SCSB shall reject the application. 5) If the DP ID, Beneficiary Account No. or PAN furnished by me/us in the application is incorrect or incomplete or not matching with the depository records, the application shall be rejected and the ICICI Prudential Mutual Fund or ICICI Prudential Asset Management Company Limited or ICICI Prudential Trustee Company Limited or SCSBs shall not be liable for losses, if any. All future communication in connection with NFO should be addressed to the SCSB/RTA/AMC quoting the full name of the Sole/First Applicant, NFO Application Number, ASBA Application Number, Depository Account details [if it has been provided], Amount applied for and the account number from where NFO amount was blocked. 6) The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Applicable to NRIs only: I/We confirm that I am/we are non-resident of Indian Nationality/origin and I/we hereby confirm that the funds for subscription, have been remitted from abroad through normal banking channels or from funds in my/our non-resident external/ordinary account/FCNR account. I/We am/are not prohibited from accessing capital markets under any order/ruling/judgement etc. of any regulation, including SEBI. I/We am/are confirm that my application is in compliance with applicable Indian and Foreign laws.

First/Sole Applicant	Second Applicant	Third Applicant
<b>SIGNATURE OF BANK ACCOUNT HOLDERS</b>		



**ACKNOWLEDGEMENT SLIP [To be filled in and attached by the applicant with the NFO Application Form]**

ICICI Prudential Mutual Fund, 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon (East), Mumbai 400 063. Tel: (91) (22) 26852000, Fax: (91)(22) 2686 8313.

Date: 

D	D	M	M	Y	Y	Y	Y

ASBA Application No.: \_\_\_\_\_

Received from Mr. / Ms. / M/s. \_\_\_\_\_  
 Address \_\_\_\_\_  
 ICICI Prudential Fixed Maturity Plan - Series 72 - 1092 Days Plan F  
 PLAN:  Regular  Direct    Option:  Cumulative  Dividend Payout  
 SCSB A/c. No. \_\_\_\_\_ Bank Name \_\_\_\_\_ Branch Name \_\_\_\_\_  
 Rs. (in figures) \_\_\_\_\_ Rupees (in words) \_\_\_\_\_

SCSB Stamp, Signature, Date & Time of Receipt

## Terms and Conditions

- 1) An ASBA investor, intending to subscribe to a public, shall submit a completed ASBA form to a Self Certified Syndicate Bank (SCSB), with whom the bank account to be blocked, is maintained, through one of the following modes ?
  - (i) Submit the form physically with the Designated Branches (DBs) of the SCSB ("Physical ASBA");
  - (ii) Submit the form electronically through the internet banking facility offered by the SCSB ("Electronic ASBA").
- 2) ASBA Facility is provided only for Demat Account Holders
- 3) From one bank account more than five applications will not be allowed
- 4) Investors shall note that the NFO units will be credited in the demat account specified in the ASBA cum application form and hence these details are mandatory.
- 5) To ensure that NFO units are allotted, Investors to ensure that their demat accounts are active i.e. not frozen or suspended.
- 6) Investors to note that if the DP-ID, beneficiary account and the PAN provided in the form are incomplete, incorrect or do not match with the depository records of NSDL or CDSL, the application shall be rejected.
- 7) Bank account details provided in the application will be the bank account number from where the NFO application amount is authorized to be blocked, Bid amount, bank and branch name shall be given in this block.
- 8) Investors will ensure that sufficient balance is maintained in the bank account mentioned in the form, else the application shall be liable to be rejected.
- 9) In case an ASBA investor wants to withdraw his/her ASBA during the bidding / offer period, he/ she shall submit his/ her withdrawal request to the SCSB, which shall do the necessary, including deletion of details of the withdrawn ASBA from the electronic bidding system of the Stock Exchange(s) and unblocking of funds in the relevant bank account.
- 10) **Demat/Non-Demat Mode:**
  1. Investor can hold units in demat/non-demant mode. In case investor did not provide demat account details or details of DP ID/BO ID, provided by the investor, is incorrect or demat account is not activated or not in active status, the units would be allotted in non demat mode.
  2. The investor can dematerialize his/her holdings in non demat mode. Similarly, investor can rematerialize holdings in demat mode. All expenses in connection with conversion from demat to remat mode or remat to demat mode will have to be incurred by the investors.
  3. Allotment letters would be sent to investors who are allotted units in demat mode and Statement of Accounts would be sent to investors who are allotted units in non demat mode.
- 11) SCSB means Self Certified Syndicate Bank registered with the SEBI, which offers the facility of ASBA. The current list of SCSBs as available on SEBI website is as follows:  
**1. Axis Bank Ltd 2. State Bank of Hyderabad 3. Corporation Bank 4. State Bank of Travencore 5. IDBI Bank Ltd. 6. State Bank of Bikaner and Jaipur 7. YES Bank Ltd. 8. Punjab National Bank 9. Deutsche Bank 10. Union Bank of India 11. HDFC Bank Ltd. 12. Bank of Baroda 13. ICICI Bank Ltd 14. Vijaya Bank 15. Bank of Maharashtra 16. State Bank of India 17. Andhra Bank 18. HSBC Ltd. 19. Kotak Mahindra Bank Ltd. 20. Bank of India 21. CITI Bank 22. IndusInd Bank 23. Allahabad Bank 24. Karur Vysya Bank Ltd. 25. The Federal Bank 26. Indian Bank 27. Central Bank of India 28. Oriental Bank of Commerce 29. Standard Chartered Bank 30. J P Morgan Chase Bank, N.A. 31. Nutan Nagarik Sahakari Bank Ltd. 32. UCO Bank 33. Canara Bank 34. United Bank of India.**
- 12) The investor is required to submit a copy of the acknowledgement receipt of the ASBA Form (as submitted with SCSB) along with the NFO application form to be furnished to ICICI Prudential Mutual Fund.